

# Predicting the Wave Breaking Onset

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- Tai-Wen Hsu, National Chen Kung University, Taiwan

# Why the waves break?



2920 Phys. Fluids, Vol. 14, No. 8, August 2002

Guillemette Caulliez

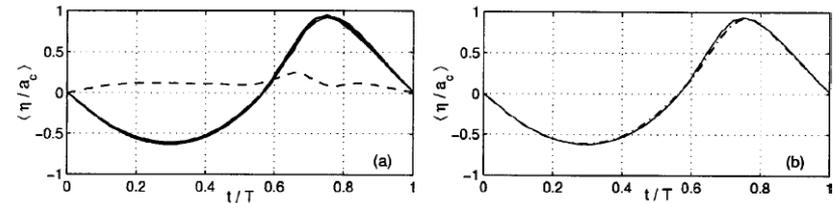


FIG. 5. Average nondimensional profiles of the near-breaking waves observed at 10 m/s wind speed for (a) pure wind waves at various fetches between 15 and 30 m, and (b) pure wind waves (solid line) and mechanically generated waves amplified by wind (dash-dotted line). The typical evolution of the standard deviation of the nondimensional water height distribution along these profiles is shown in (a) (dashed line). Here  $a_c$  is the wave crest height.

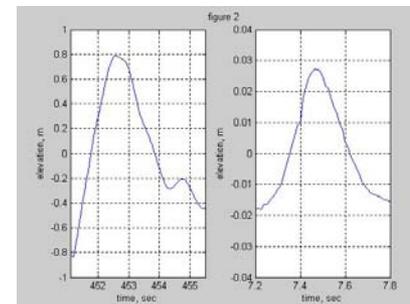
- Motivation: lack of understanding of incipient breaking (asymmetry)
- What theory can reproduce asymmetric waves?

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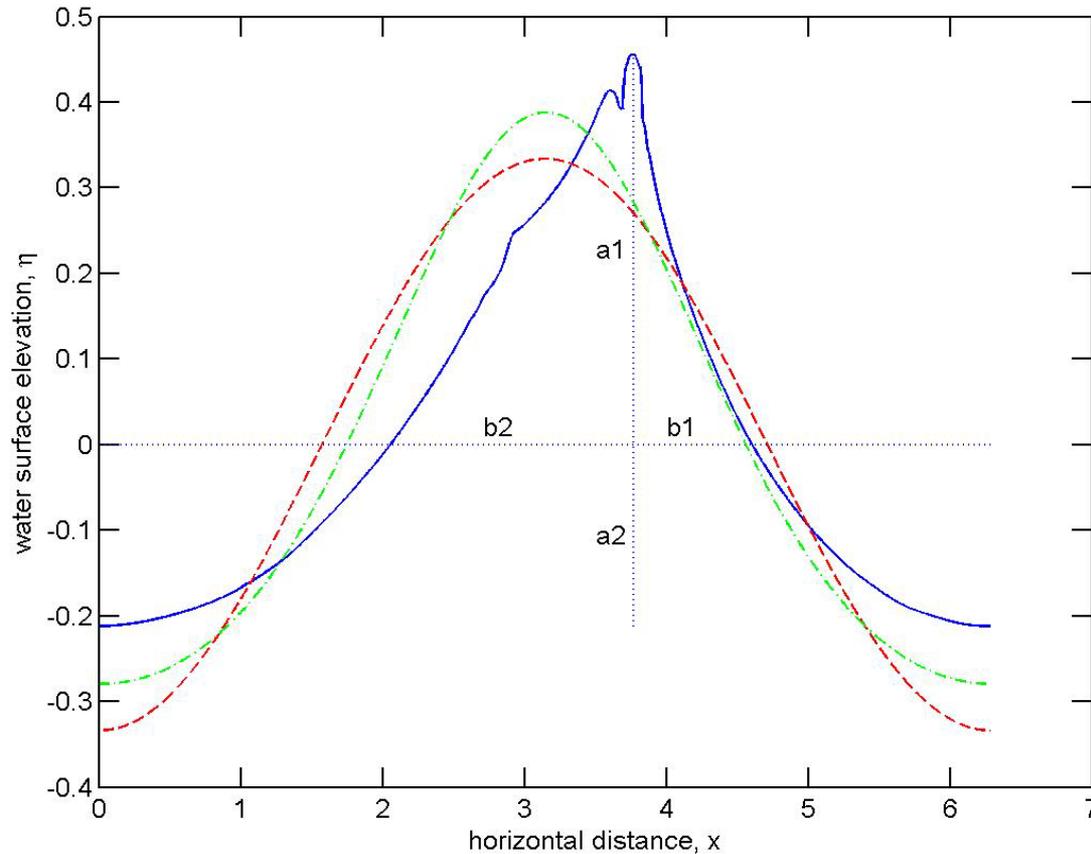
$$\begin{aligned}
 \text{skewness}_i &= 0.45, \\
 \text{skewness}_p &= 0.31, \\
 \text{kurtosis}_i &= 3.34, \\
 \text{kurtosis}_p &= 2.96, \\
 \text{asymmetry}_i &= -0.186, \quad \text{and} \\
 \text{asymmetry}_p &= -0.017.
 \end{aligned}
 \tag{11}$$

Young and Babanin, JPO, 2006



Real waves:  
 -Black Sea  
 - ASIST

# What theory can reproduce asymmetric waves?



$$S_k = \frac{a_1}{a_2} - 1$$

$$A_s = \frac{b_1}{b_2} - 1$$

$$S_k = 0, \quad A_s = 0$$

$$S_k = 0.39 \quad A_s = 0$$

$$S_k = 1.15 \quad A_s = -0.51$$

Same  $H$  and  $\omega$

# Chalikov-Sheinin Model



Available online at [www.sciencedirect.com](http://www.sciencedirect.com)

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Journal of Computational Physics 210 (2005) 247–273

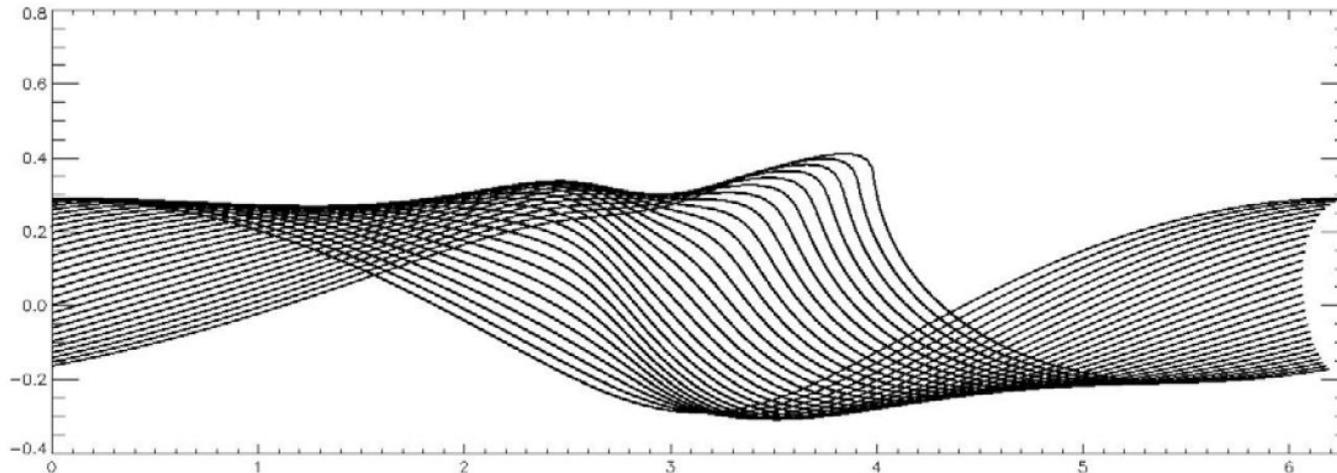
JOURNAL OF  
COMPUTATIONAL  
PHYSICS

[www.elsevier.com/locate/jcp](http://www.elsevier.com/locate/jcp)

- fully non-linear
- very high precision
- stable for hundreds of periods
- coupled with atmosphere

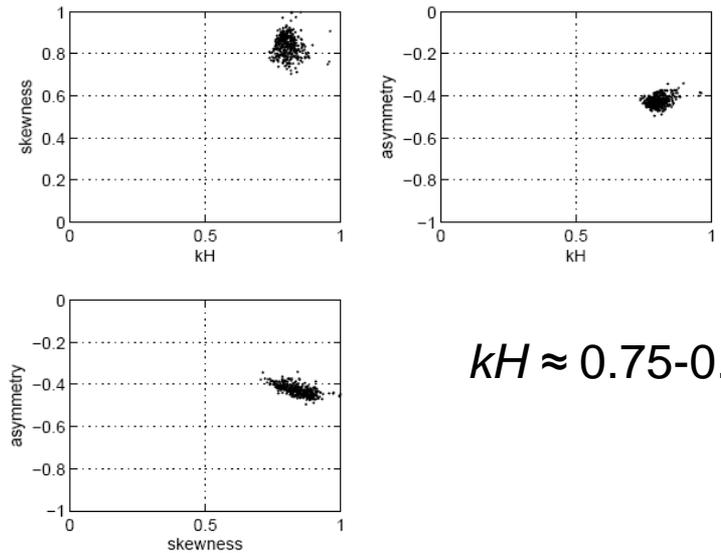
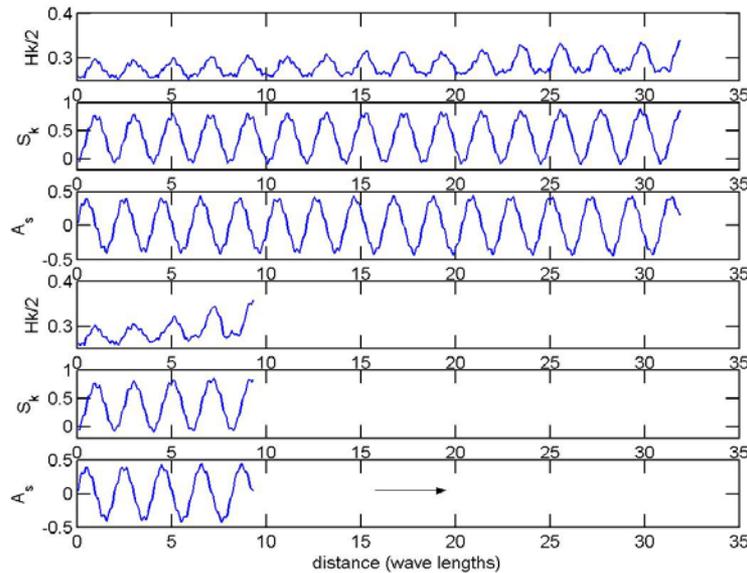
Modeling extreme waves based on equations of potential flow with a free surface

Dmitry Chalikov <sup>a,\*</sup>, Dmitry Sheinin <sup>b</sup>



CSM: steep wave developing asymmetry

# Numerical Simulations of Wave Evolution



$kH \approx 0.75-0.85$

Individual waves, from start to breaking

$IMS = 0.26$ ,  $U/c = 2.5$ ,  $U/c = 5.0$

Initial skewness and asymmetry are zero

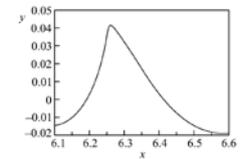
$S_k$  and  $A_s$  oscillate

Wind doubles, distance to breaking reduces 4 times

Dyachenko & Zakharov (2005)

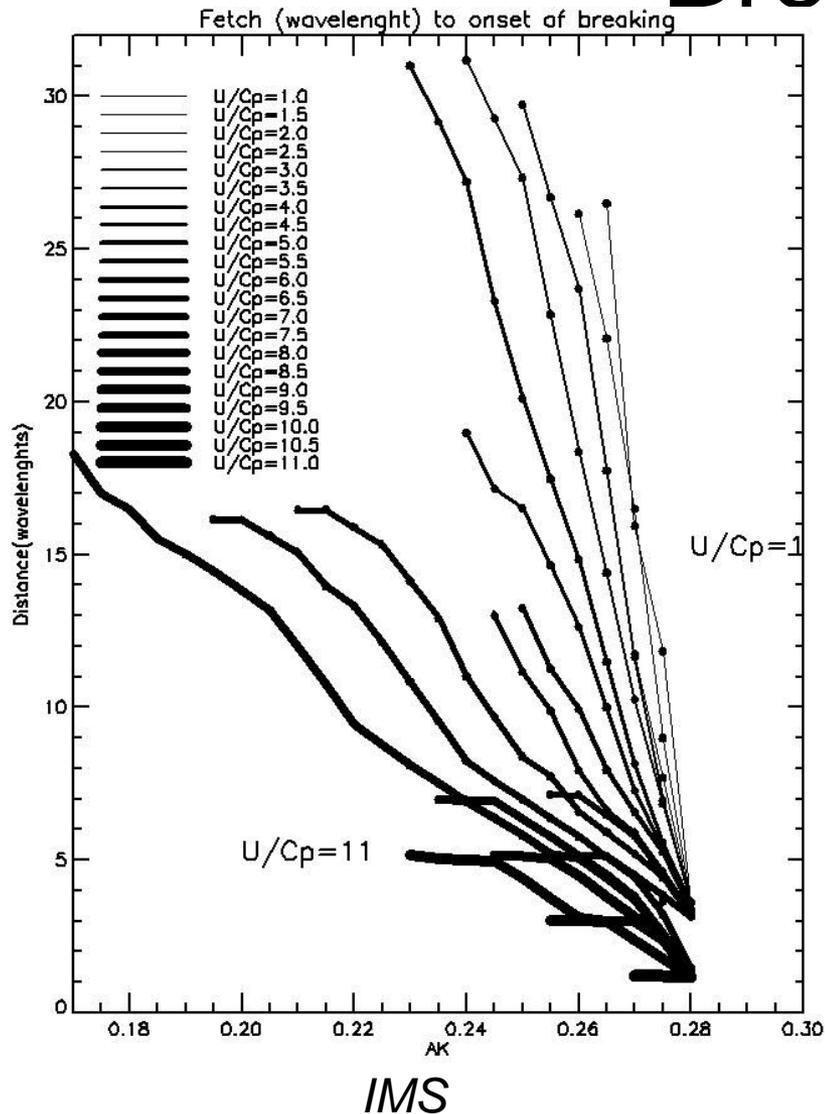
Fully non-linear model

$kH/2 = 0.44$



Shape is different from the Stokes shape

# Numerical Simulations. Distance to Breaking



If  $IMS > 0.3$ , waves will break immediately

If  $IMS < 0.1$ , waves with no wind forcing will never break

Between the limits, dimensionless distance to breaking decreases if  $IMS$  increases

Wind:

- Accelerates wave steepness growth
- Can reduce the critical steepness if strong ( $U/c > 10$ )
- Affects the breaking severity

# Laboratory Experiment at ASIST, RSMAS, University of Miami

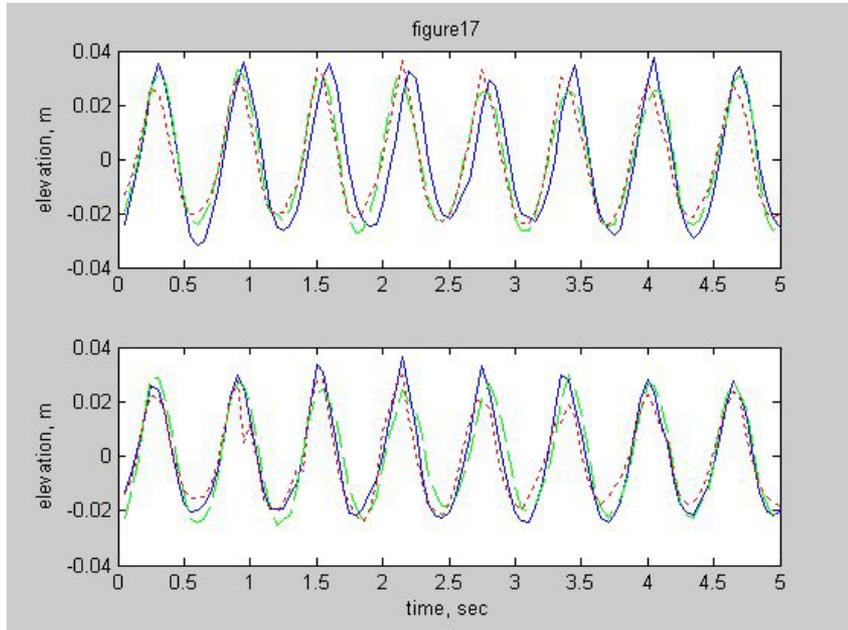


- near-monochromatic two-dimensional deep-water mechanically-generated waves
- recorded at 4.55m, 10.53m, 11.59m and 12.56m from the wave maker
- *IMS* varied to make the waves break just after one of the wave probes
- the fact that breaking could be predicted and controlled by manipulating steepness only is a powerful corroboration of the numerical model
- qualitative rather than exact quantitative agreement is expected: no modes, no three-dimensional crest instability in the model

# Experiment. Time Series

4.55 m,  $IMF = 1.6\text{Hz}$

$U/c = 0$ ,  $IMS = 0.31, 0.25, 0.23$



$IMS = 0.23$ ,  $U/c = 0, 1.4, 11$

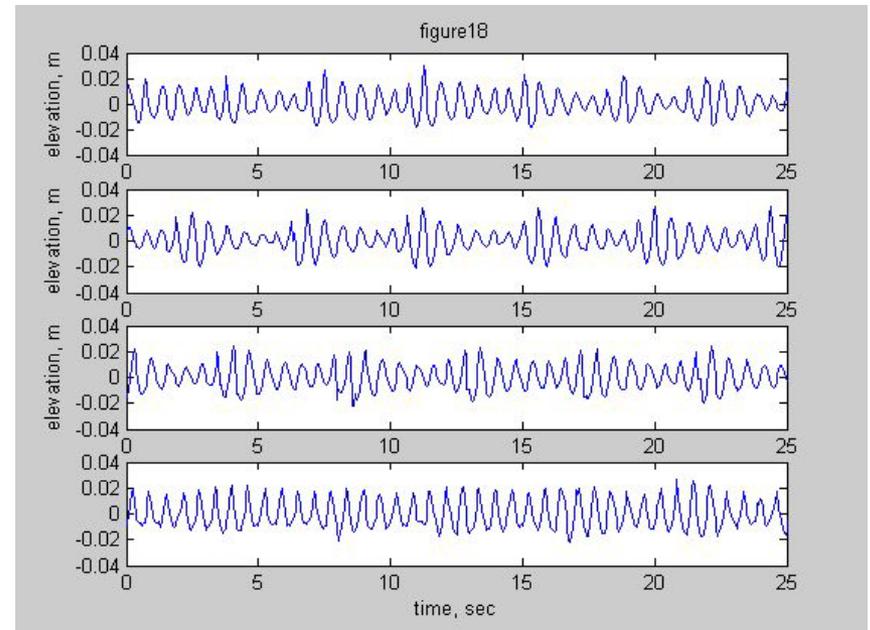
Modulational Index  
defines number of waves  
in the modulation:

$$M_I = \frac{\epsilon}{\Delta f / f_0}$$

10.53 m,  $IMF = 1.6\text{Hz}$

$U/c = 0$ ,  $IMS = 0.31, 6 \text{ waves}$

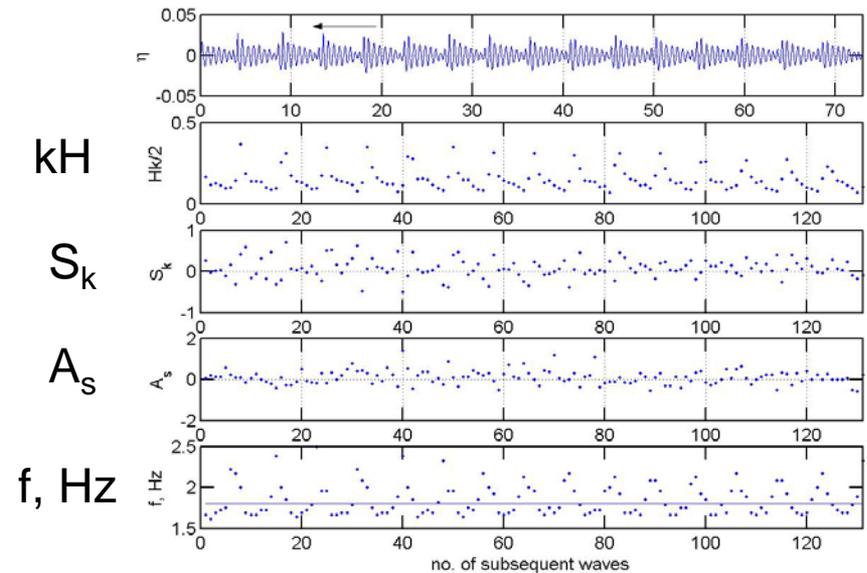
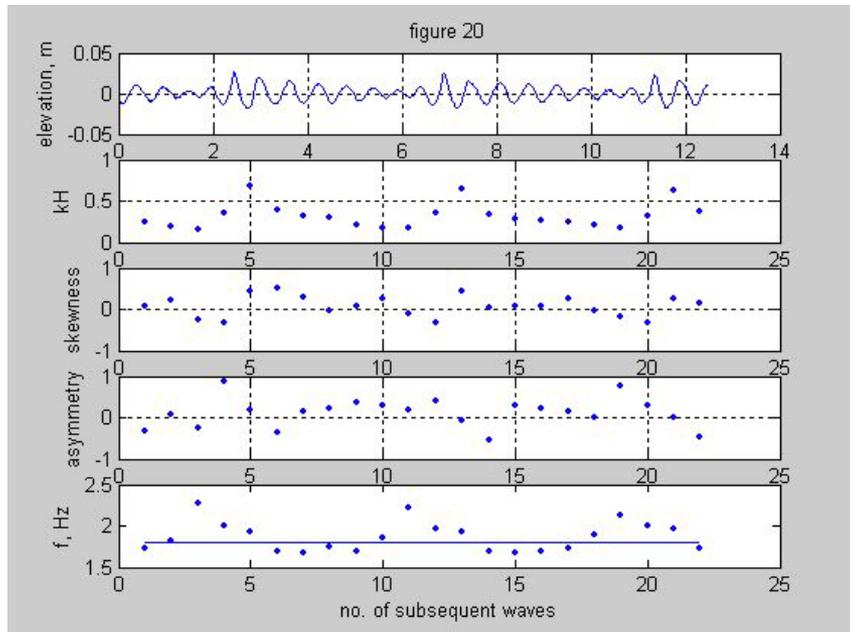
$U/c = 0$ ,  $IMS = 0.25, 7 \text{ waves}$



$U/c = 0$ ,  $IMS = 0.23, 7.5 \text{ waves}$   
 $U/c = 11$ ,  $IMS = 0.23, 7.5 \text{ waves}$ ,  
 modulation smeared

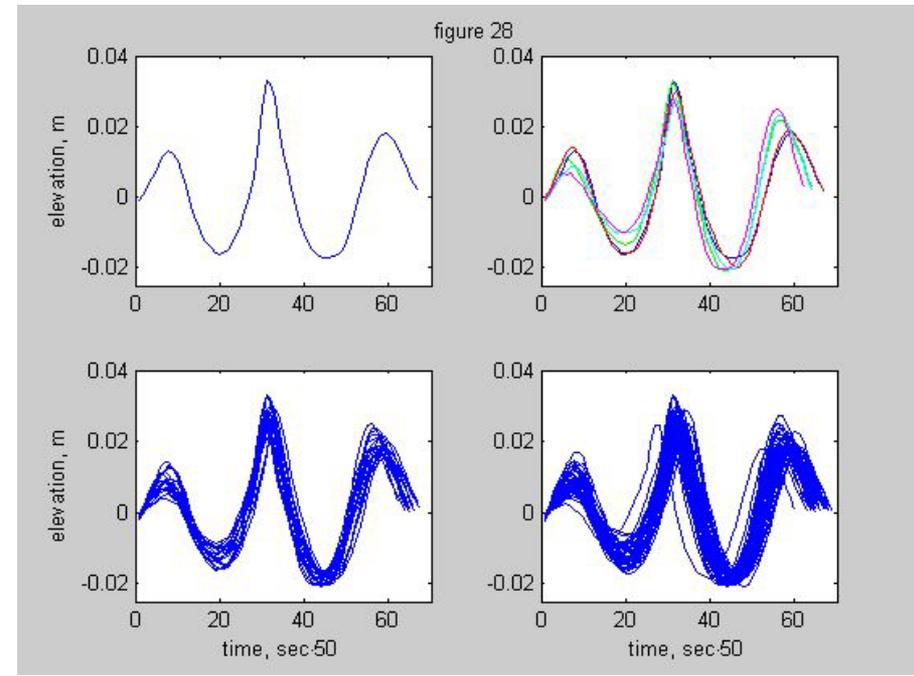
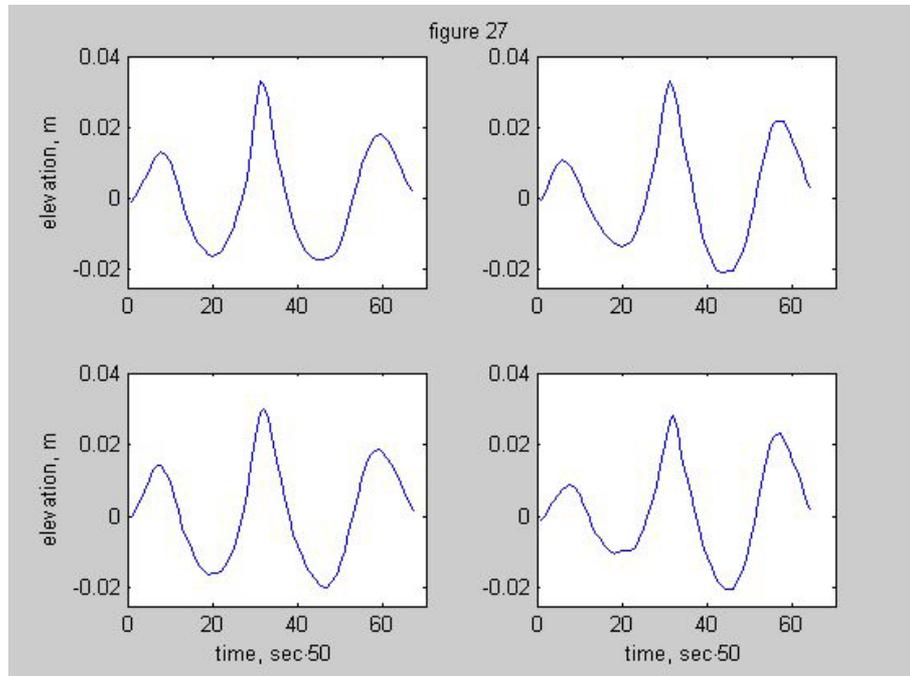
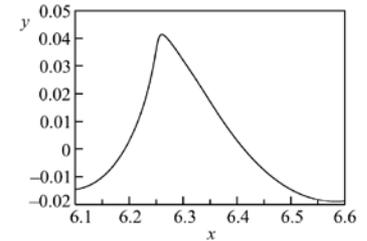
# Experiment. Time Series Analysis

- $IMF = 1.8\text{Hz}$ ,  $IMS = 0.30$ ,  $U/c = 0$ , breaking immediately after the 10.73 m probe
- note a conceptual change in the frame of reference compared to the numerical model results
- major features seen in the numerical model are confirmed

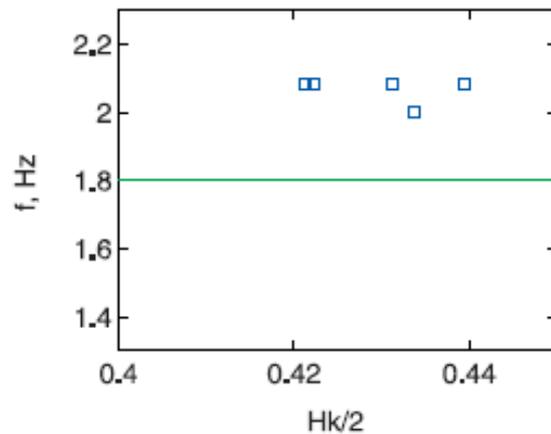
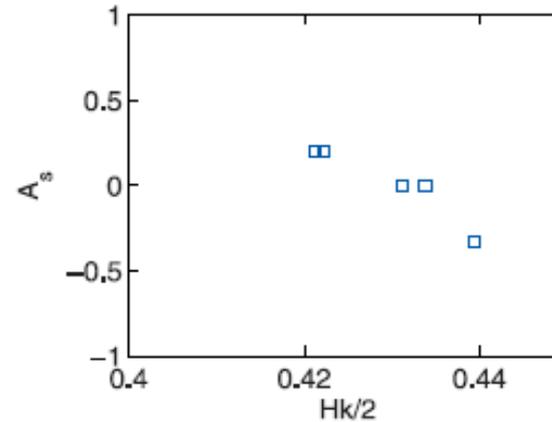
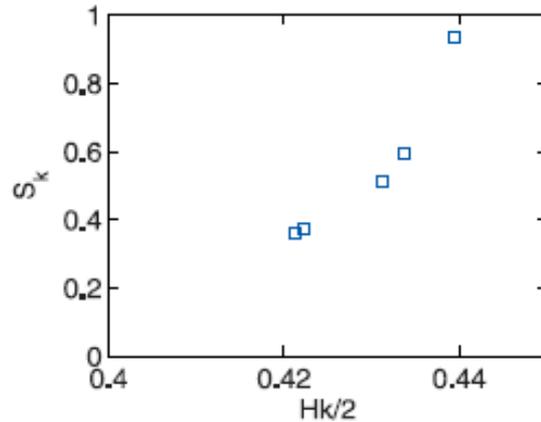


- incipient breaking waves are the steepest waves in the wave train
- steepness, skewness and asymmetry oscillate. Asymmetry is shifted
- at the point of breaking  $S_k$  is maximal,  $A_s$  is small, frequency is increased

# Experiment. The Incipient Breaking!



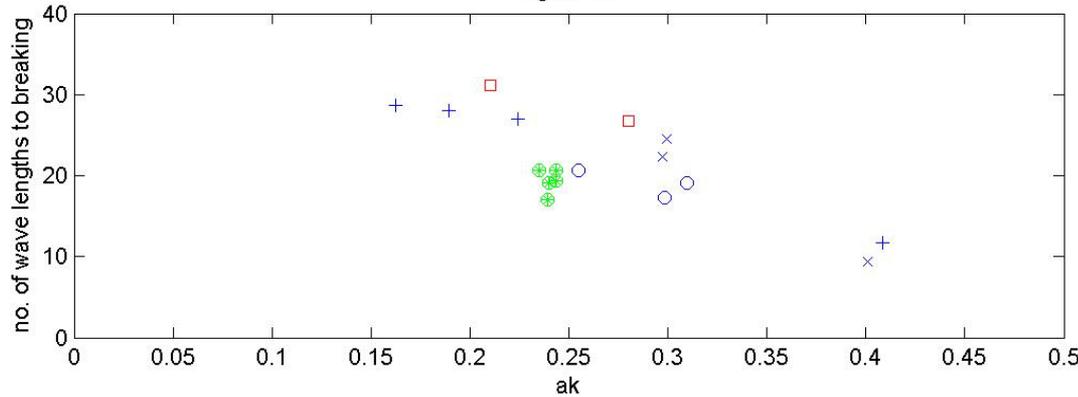
# Incipient Breaking Statistics. Top 5



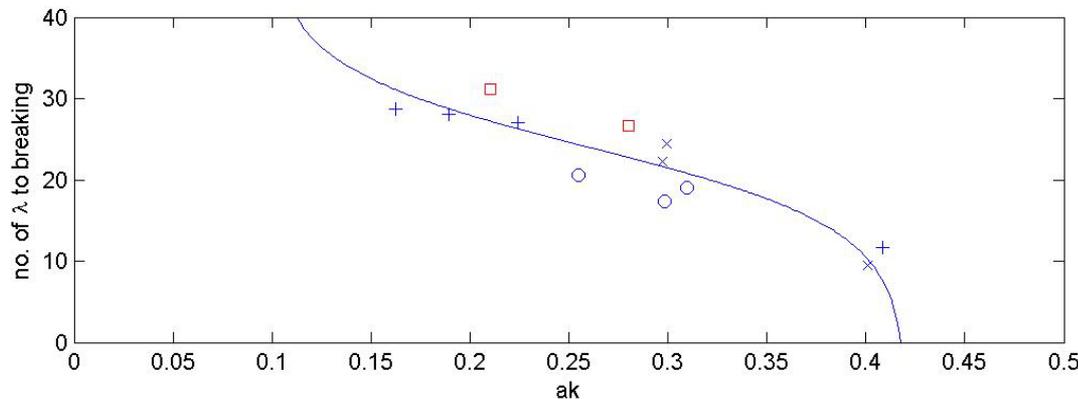
asymptotic limit of  $kH/2 \sim 0.44$

# Number of wave lengths to the breaking versus *IMS*.

figure 45



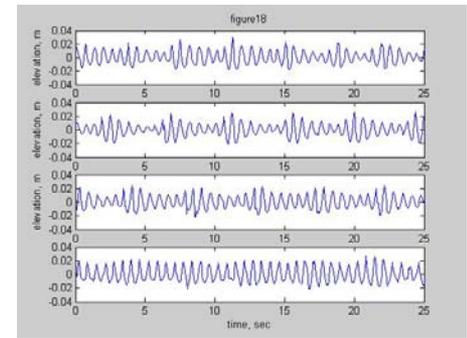
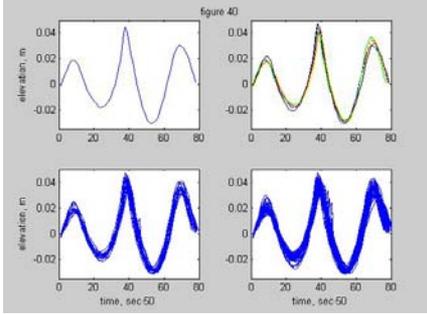
- No wind forcing, except filled green circles
- Red squares derived from Melville (1982)
- $IMS > 0.44$ , break immediately



- $IMS < 0.08$ , never break in the absence of wind forcing

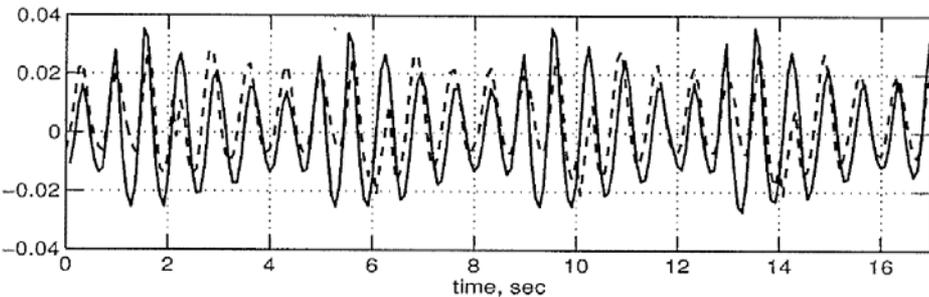
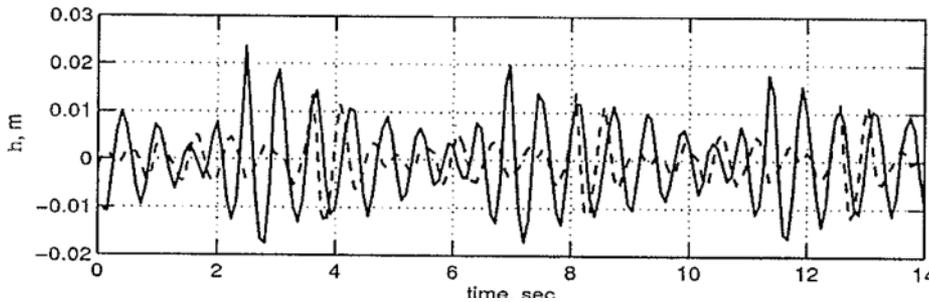
$$N = -11 \operatorname{atanh} \left[ 5.5 (IMS - 0.26) + 23 \right]$$

# Wind-Forced Breaking



- overall pattern, i.e. breaking onset etc. is the same
- modulation and dissipation are not the same

without the wind

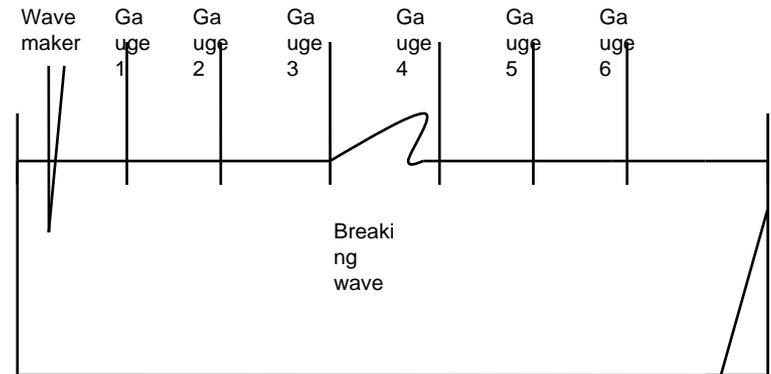


$$R = \frac{H_h}{H_l} \quad \begin{array}{l} \text{modulation} \\ \text{depth} \end{array}$$

with the wind ( $U/c=3.9$ )

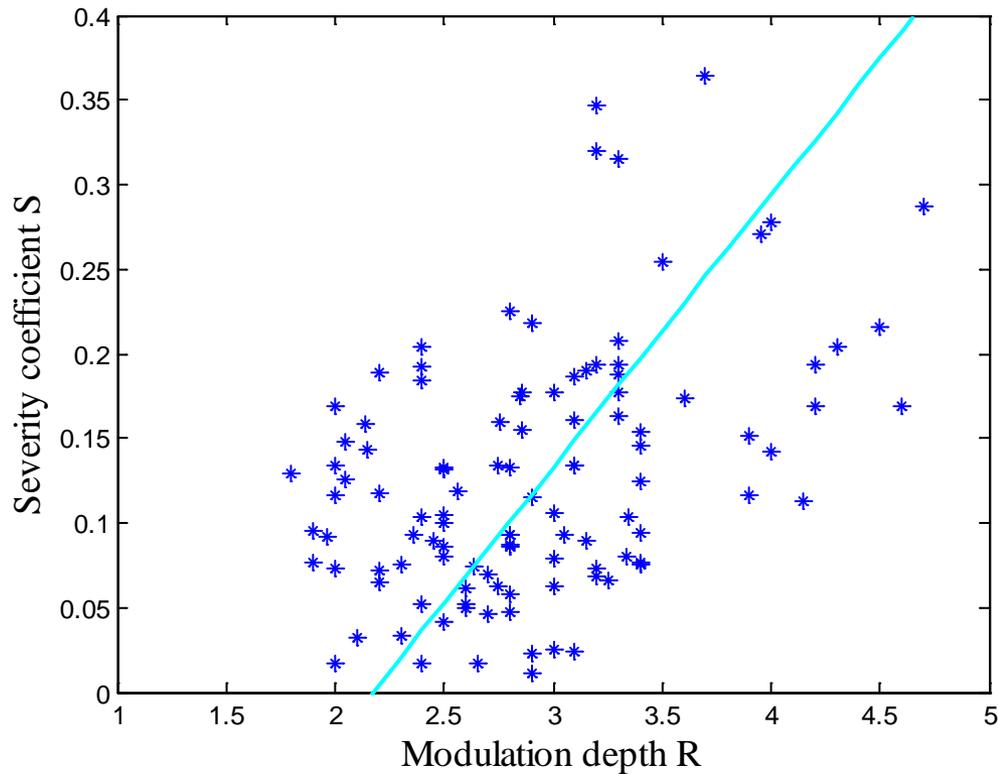
before (solid line) and after (dashed) the breaking

# Laboratory Experiment at National Chen Kung University, Taiwan



24m long, 1.3m high and 1m wide

# Laboratory Experiment at National Chen Kung University, Taiwan



$$S = \frac{E_{bb} - E_{ab}}{E_{bb}}$$

Breaking severity per wave group

Ranges from 2% to 35%

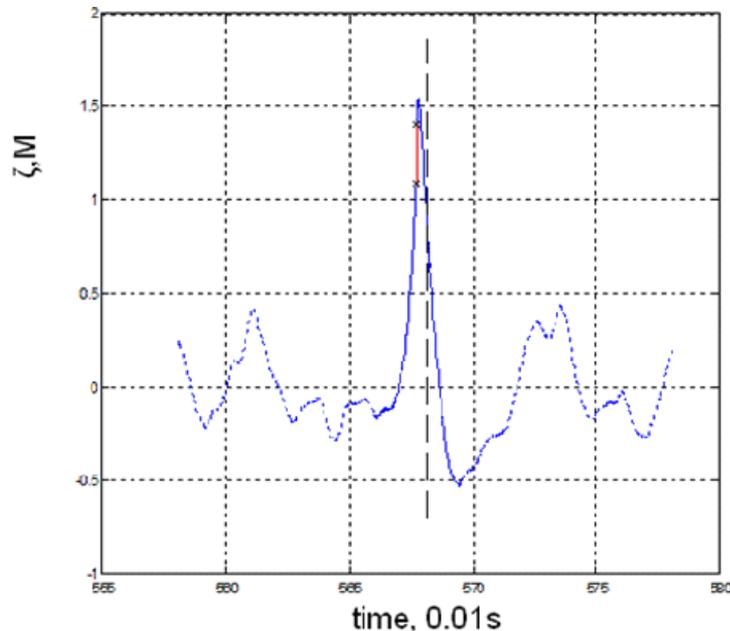
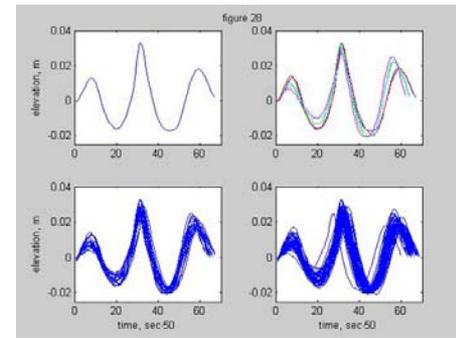
$$S = (0.16 \pm 0.03)R - (0.35 \pm 0.06)$$

# Implications for Field Conditions

- waves are three-dimensional
- notion of an initial monochromatic steepness does not exist
- however, should waves reach critical steepness then they will break
- other processes can negotiate the critical steepness (wind, groups, superpositions)  
but  $ak=0.44$  criterion appear to hold (eg. Brown and Jenssen, JGR, 2001)
- steepness of individual waves can be related to the spectral densities

# Dominant Breaking in Field Conditions

- There is still hope!



- measuring breaking onset in a field is a challenge
- if measured, limiting steepness, skewness and other features appear similar to those due to 2D modulational instability

Vladimir Dulov, MHI, Sebastopol

Breaking onset, Black Sea,  $kH \sim 0.9$

# Conclusions

- Breaking onset caused by modulational instability was investigated by numerical and laboratory means
- Breaking probability can be predicted in terms of initial steepness
- Once waves reach a limiting steepness, they break. The final steepness limit reached by these waves is very close to the Stokes limit
- Wind forcing plays multiple roles, one of them is alteration of the modulation depth
- The modulation depth is connected with the breaking severity