

# Are *unexpected waves* as important as *rogue waves*?

Johannes Gemmrich and Chris Garrett

gemmrich@uvic.ca

cgarrett@uvic.ca

University of Victoria  
Physics & Astronomy  
Victoria, BC, Canada

## Conclusion

- The “surprise effect” of large waves, after a calm period, is significant
- Based on linear simulations, *unexpected waves* are expected frequently!
  - Isolated *unexpected waves* are most frequent in developed seas
  - Unexpected wave groups* are most frequent in young seas
- Most *unexpected waves* are not *rogues*
- Not every *rogue wave* is *unexpected*

Project funding:



National Search and Rescue Secretariat  
Secrétariat national recherche et sauvetage

SAR NEW INITIATIVES FUND

# Rogue waves – 2 examples from Vancouver Island

I



Barkley Sound, Vancouver Island



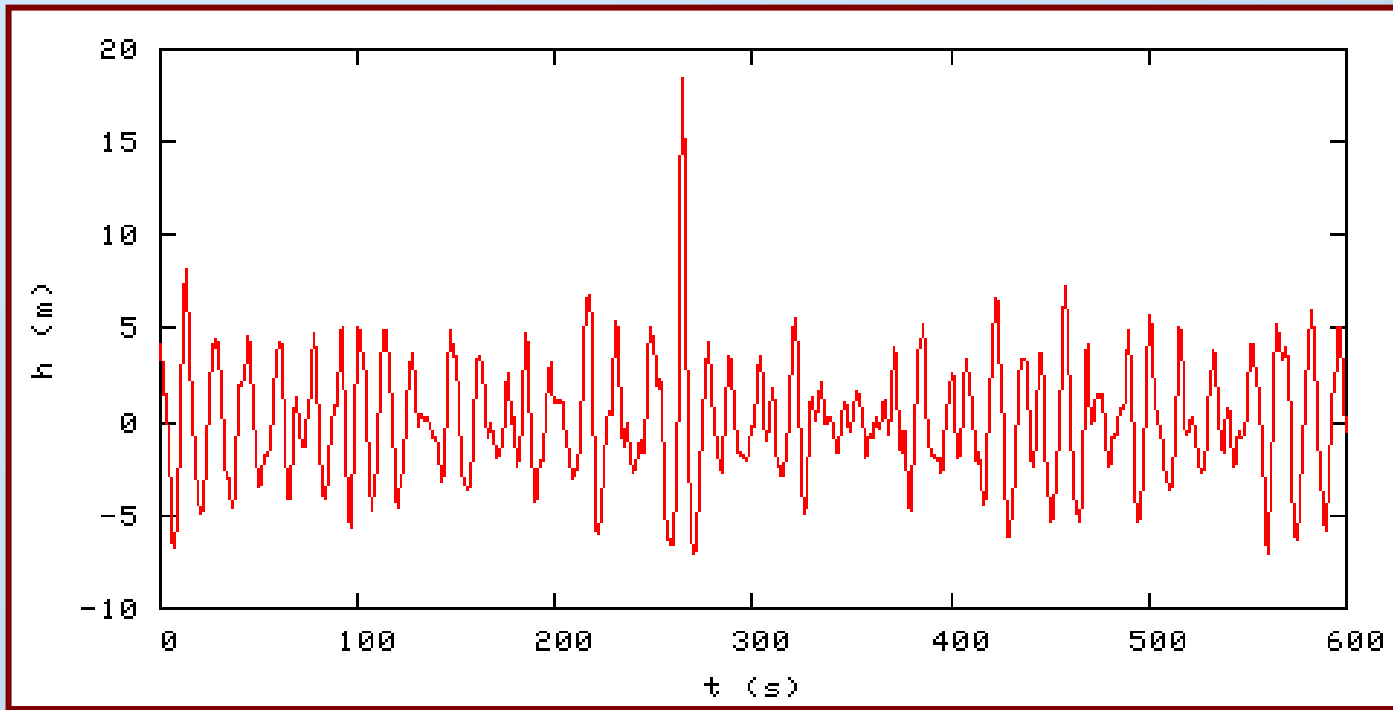
II

... Just yesterday, 5 hikers were airlifted off of the West Coast Trail when they were hit by a rogue wave and were sucked into a surge channel from which, they couldn't escape. The rescue, a joint Canadian/U.S. mission, involved several Canadian coast guard vessels, a Canadian Armed Forces helicopter, a U.S. Coast Guard helicopter and a U.S. research vessel.

June 15, 2007

Large wave was not anticipated

# Rogue waves



“New Year’s Wave” at Draupner Platform (North Sea),  
January 1, 1995

- Damage to ships and offshore structure  
globally: 1 large ship per week
- Risk, loss of lives on beaches  
Vancouver Island: several people per year



# Rogue wave occurrence

common *rogue wave* definition:  $H_{\text{rogue}} \geq 2.2 H_s$

$H_s = 4\sigma$ : significant wave height  
 $\sigma$ : rms surface elevation



Wave height distribution:  
(linear theory, narrow-band frequency spectrum)

$$p(H) = \frac{H}{4\sigma^2} \exp\left[-\frac{H^2}{8\sigma^2}\right]$$

Rayleigh distribution

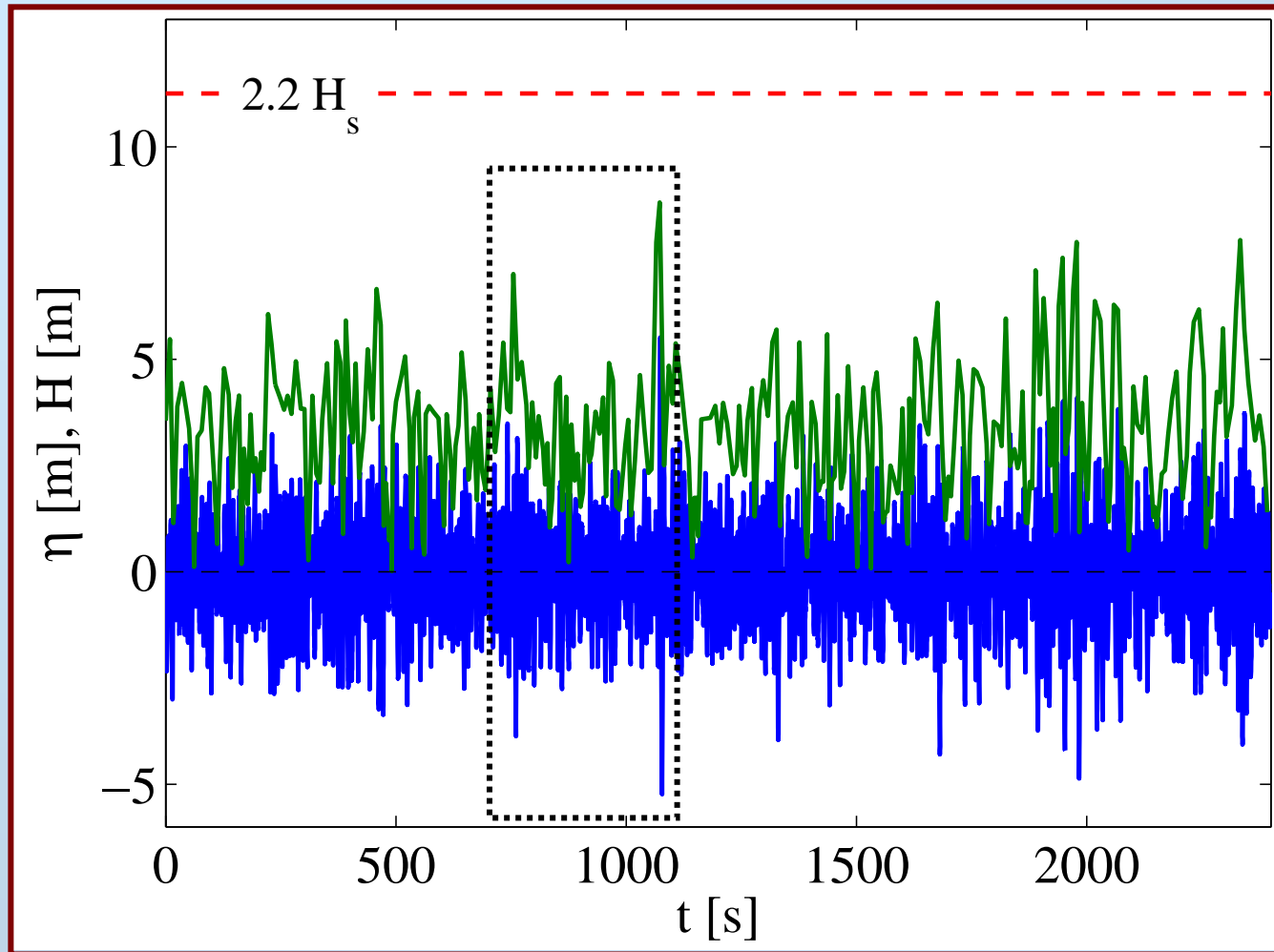
➔ Exceedance probability:  $P(H > 2.2H_s) \approx 1/16800$

**1 rogue wave every 2-3 days**

Resonant non-linear interactions are expected to generate  
more frequent rogue wave occurrence

# Unexpected waves

The rogue wave definition does not consider the “unexpectedness” of larger waves

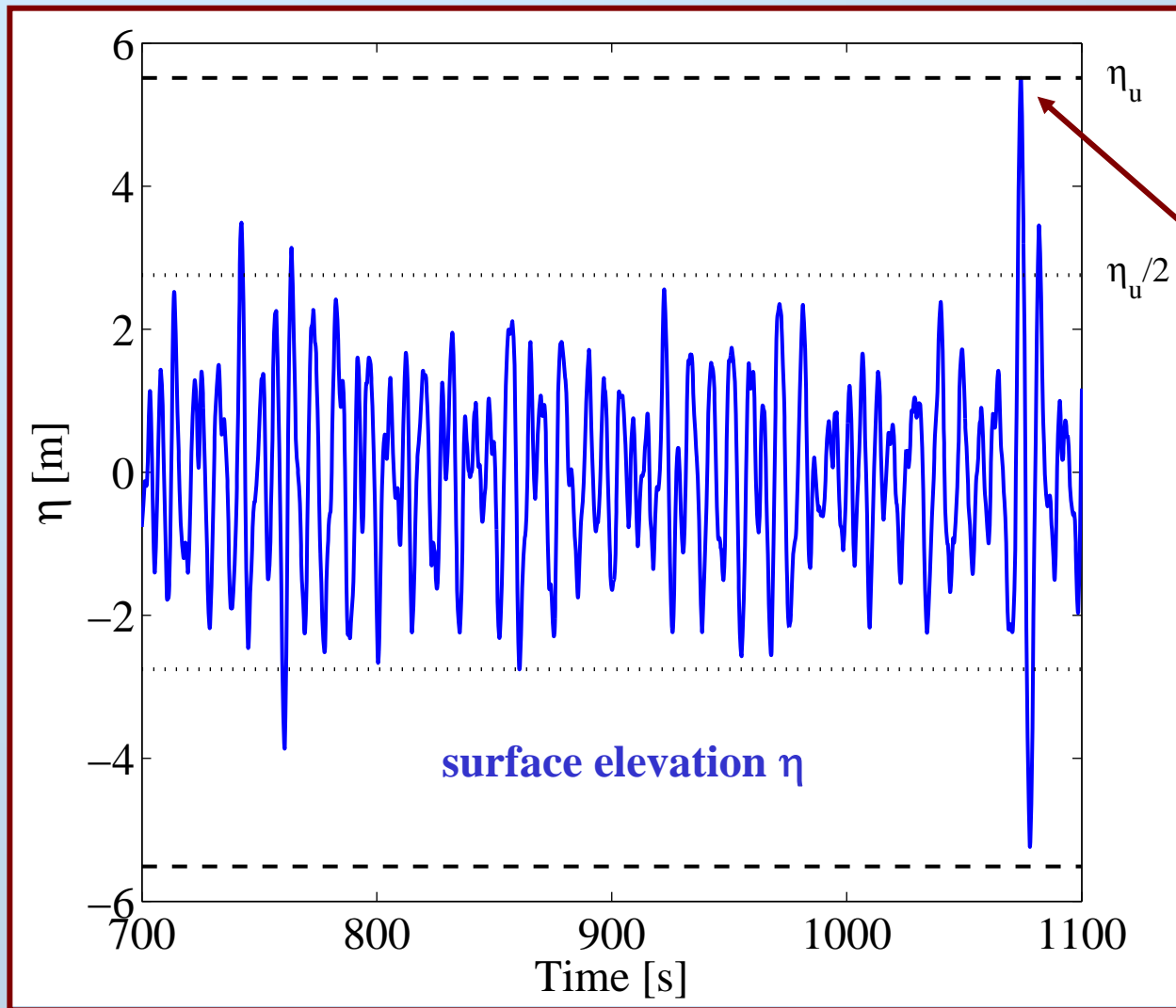


wave height H  
(trough to crest)

surface elevation  $\eta$

simulated 40 minute surface elevation record  
no rogue wave occurrence

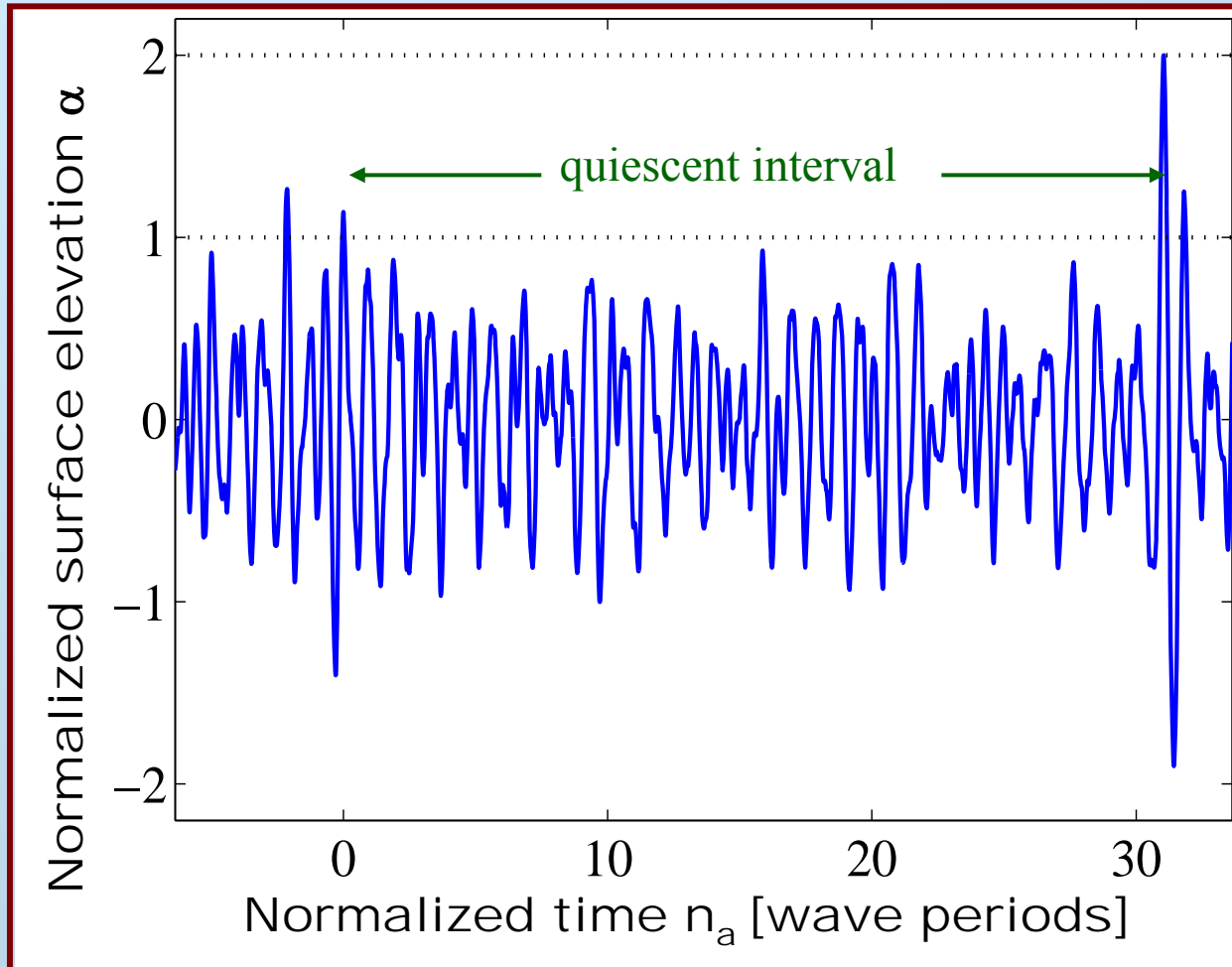
# Unexpected waves



A wave crest  
twice as high as  
any in the  
preceding 300 s.

=  
**unexpected wave**

## Unexpected wave – general definition



**unexpected wave**

=

a wave crest  
 **$\alpha$  times as high**  
as any of the  
**preceding  $n_a$**   
crests.

(e.g.,  $\alpha \geq 2$ ,  $n_a \geq 20$ )

$\alpha$ : height ratio

$n$ : length of quiescent interval

# Unexpected wave – Monte Carlo simulations

- linear, random superposition of wave Fourier components
  - Fourier components based on JONSWAP spectra, varying peak parameter  $\gamma$
- 10 h time series,  $6 \times 10^4$  simulations

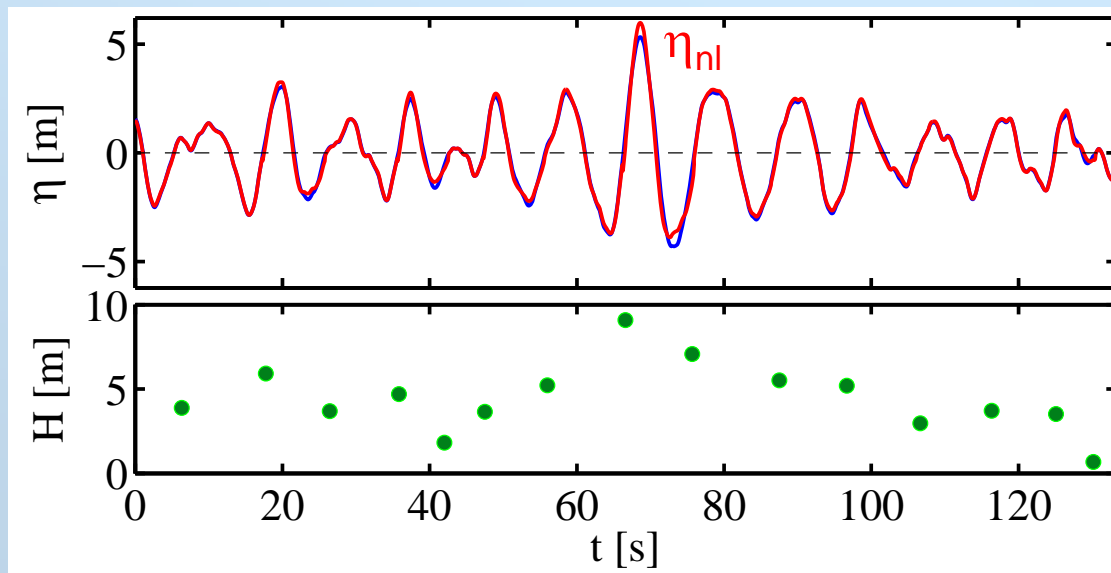
For each wave, find the nearest preceding wave with height  $\geq 1/\alpha$

→ statistics of unexpected waves

Analyze:  $\eta$ : linear crest height

$H$ : linear trough-crest wave height

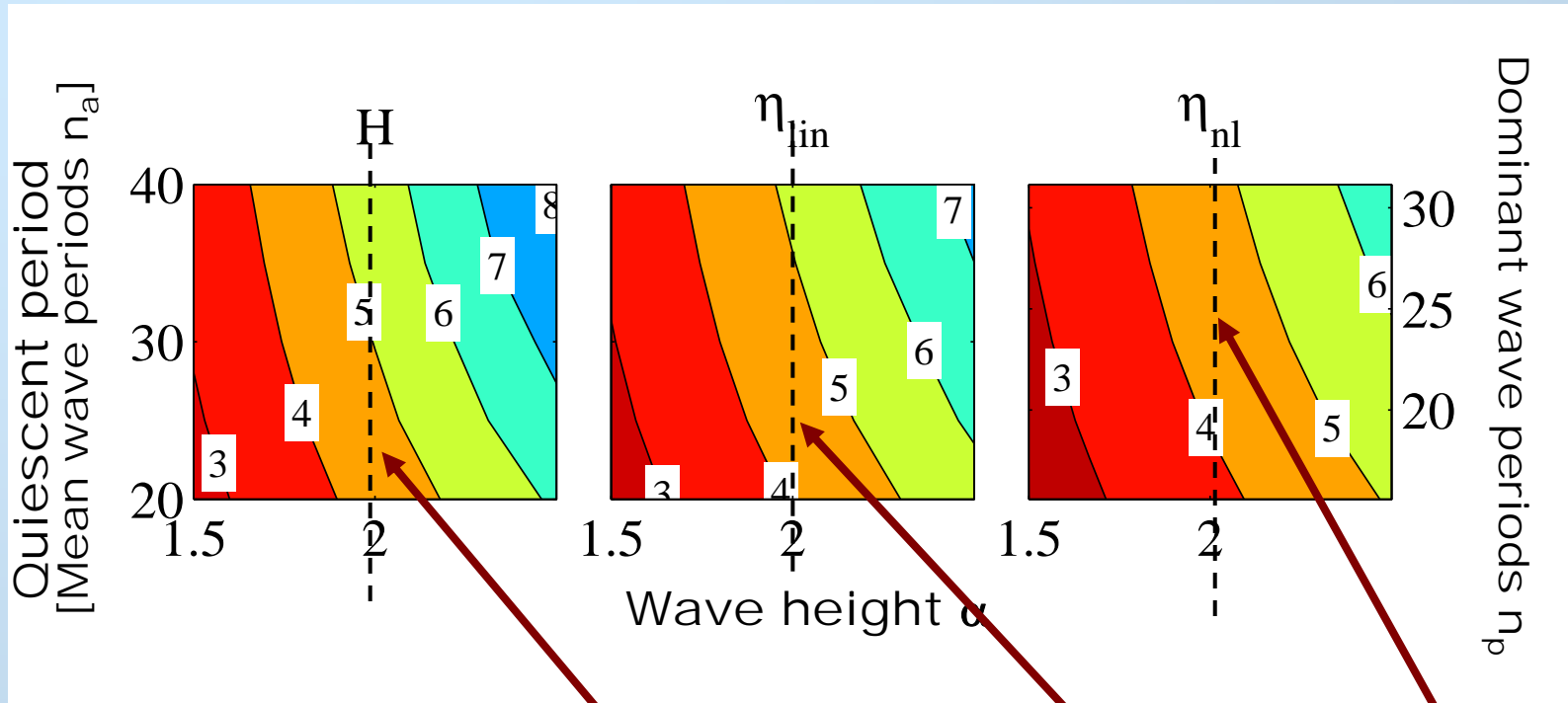
$\eta_{nl}$ : second order non-linear crest height  $\eta_{nl} = a \cos \theta + \frac{1}{2} k a^2 \cos(2\theta)$





# Unexpected wave occurrence $R(\alpha, n_a)$

( $\log_{10}$  of return time in peak periods)



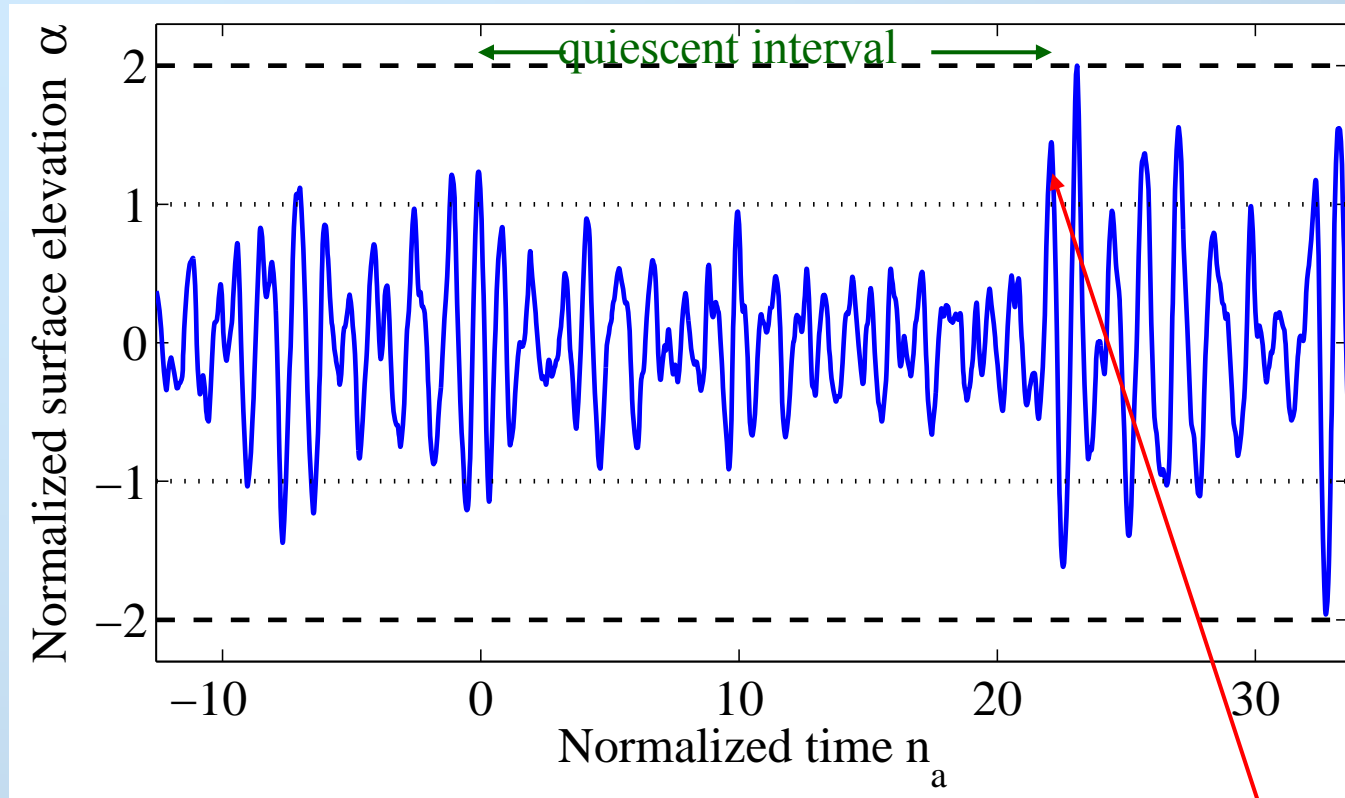
A *rogue* wave corresponds to the 4.23 contour, i.e. 1 in 16,800 waves (2 days).

An *unexpected* wave twice as big as any in the previous 22 periods is just as common.

25 periods

32 periods

## Unexpected wave – relaxed definition

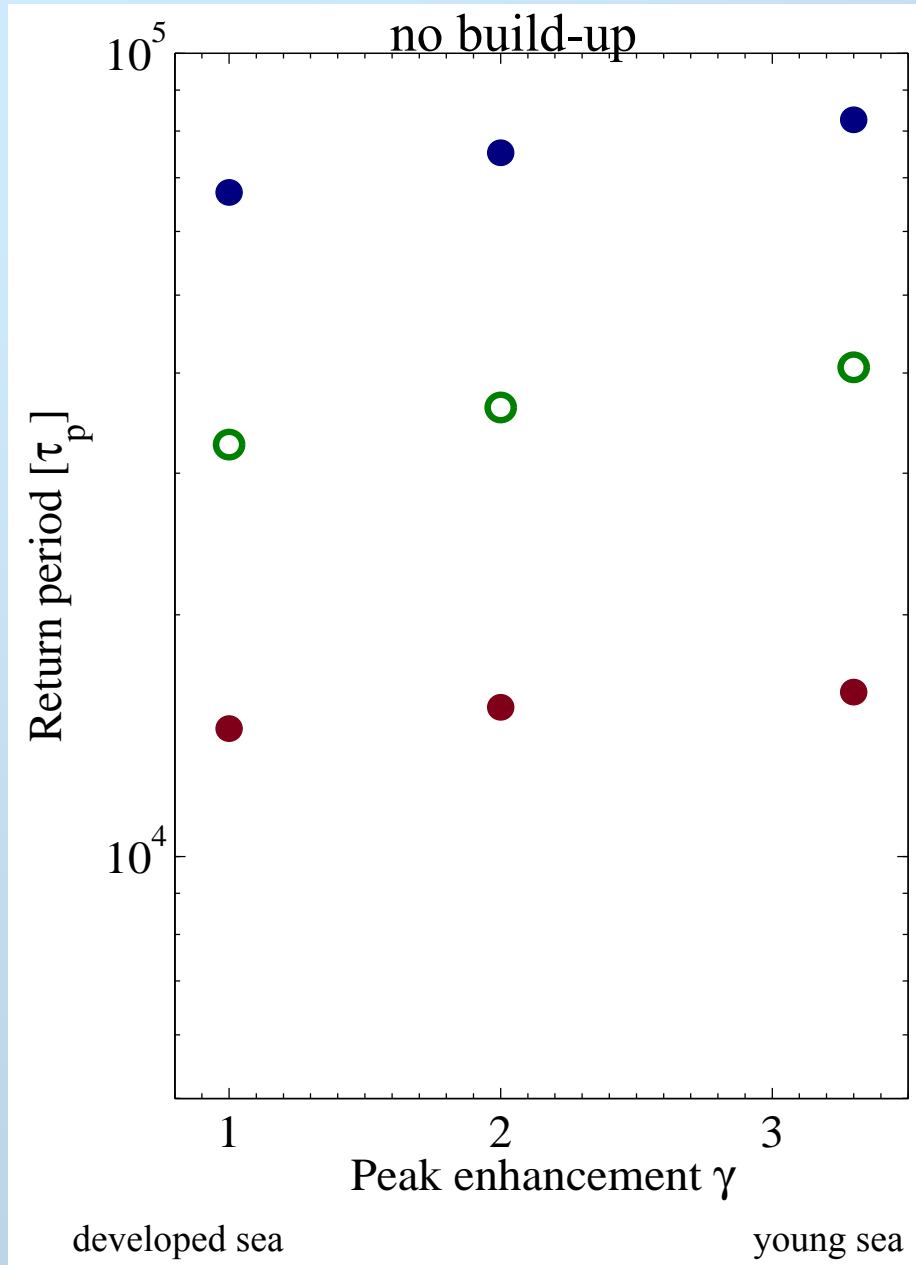


### unexpected wave:

a wave crest  $\alpha$  times as high  
as any of the preceding  $n_a$  crests,  
excluding  $m$  crests just prior.  
(e.g.,  $\alpha \geq 2$ ,  $n_a = 22$ ,  $m = 1$ )

Allowing for build-up of  $m$  waves  
prior to the unexpected wave

# Return period – wave development



$$\alpha = 2, n_p = 20, m = 0$$

$H$

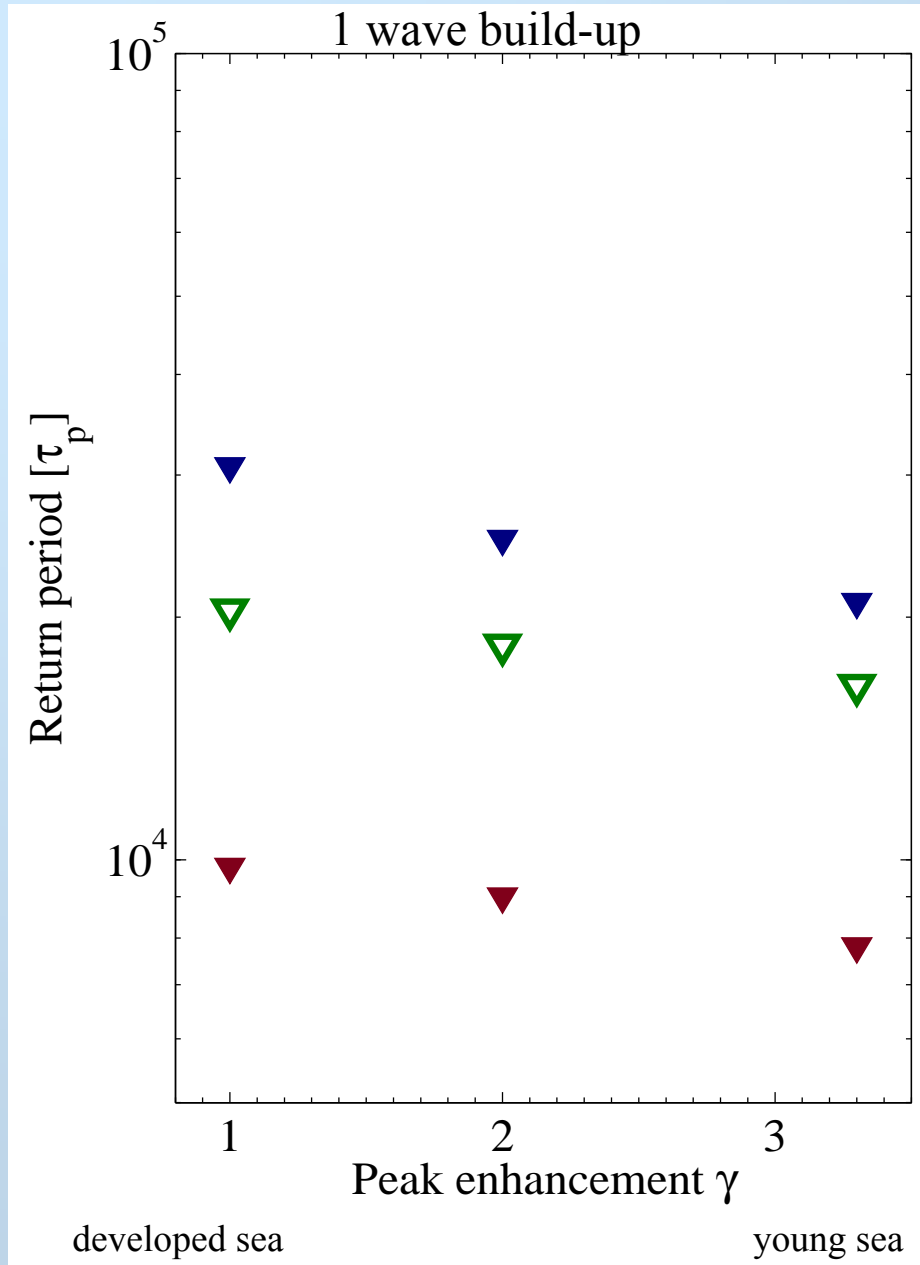
$\eta$

$\eta_{nl}$

*Isolated* unexpected waves more frequent in developed seas

# Return period – wave development

$$\alpha = 2, n_p = 20, m = 1$$



$H$

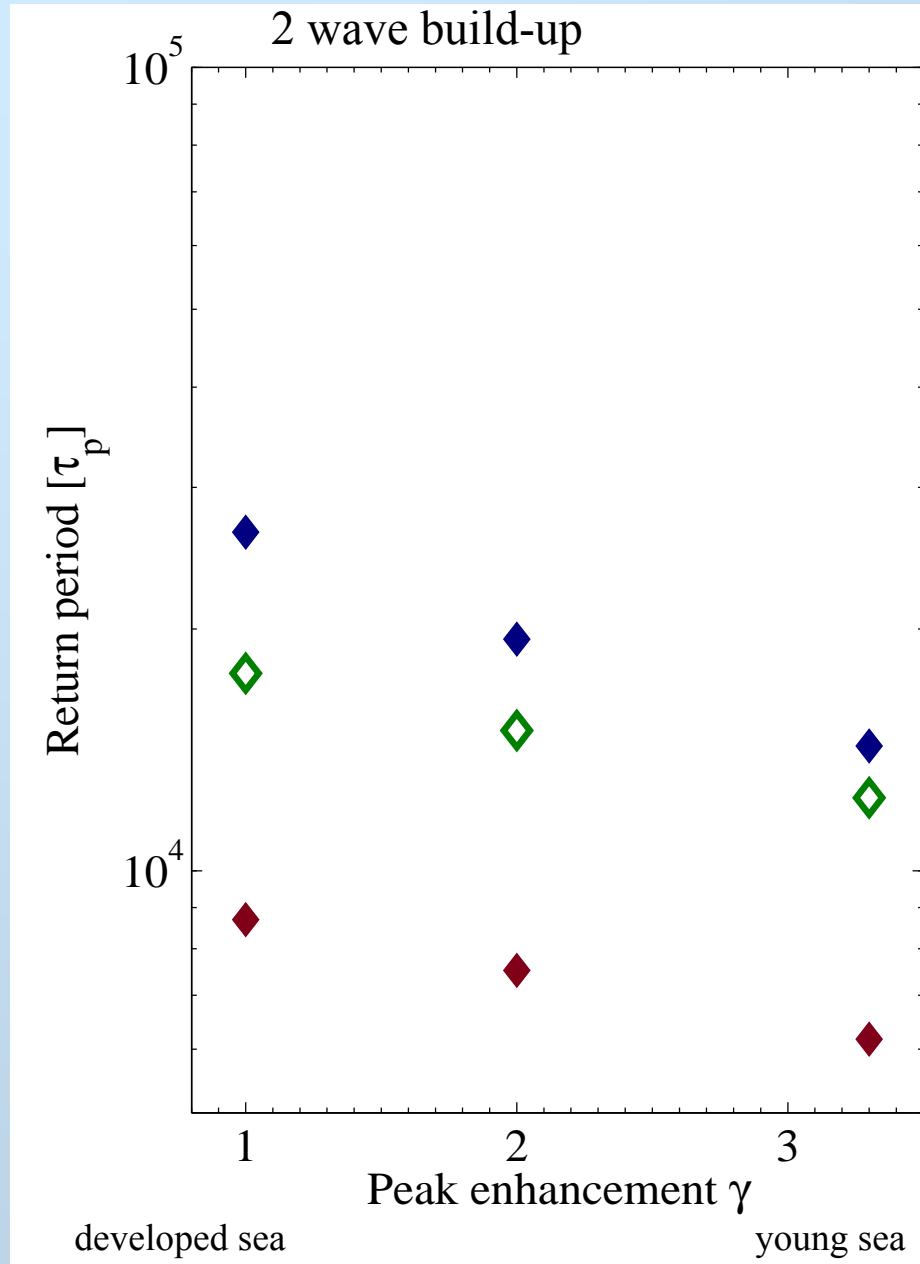
$\eta$

$\eta_{nl}$

Unexpected wave *groups*  
more frequent in young seas

# Return period – wave development

$$\alpha = 2, n_p = 20, m = 2$$



$H$

$\eta$

$\eta_{nl}$

Unexpected wave groups much more frequent in young seas

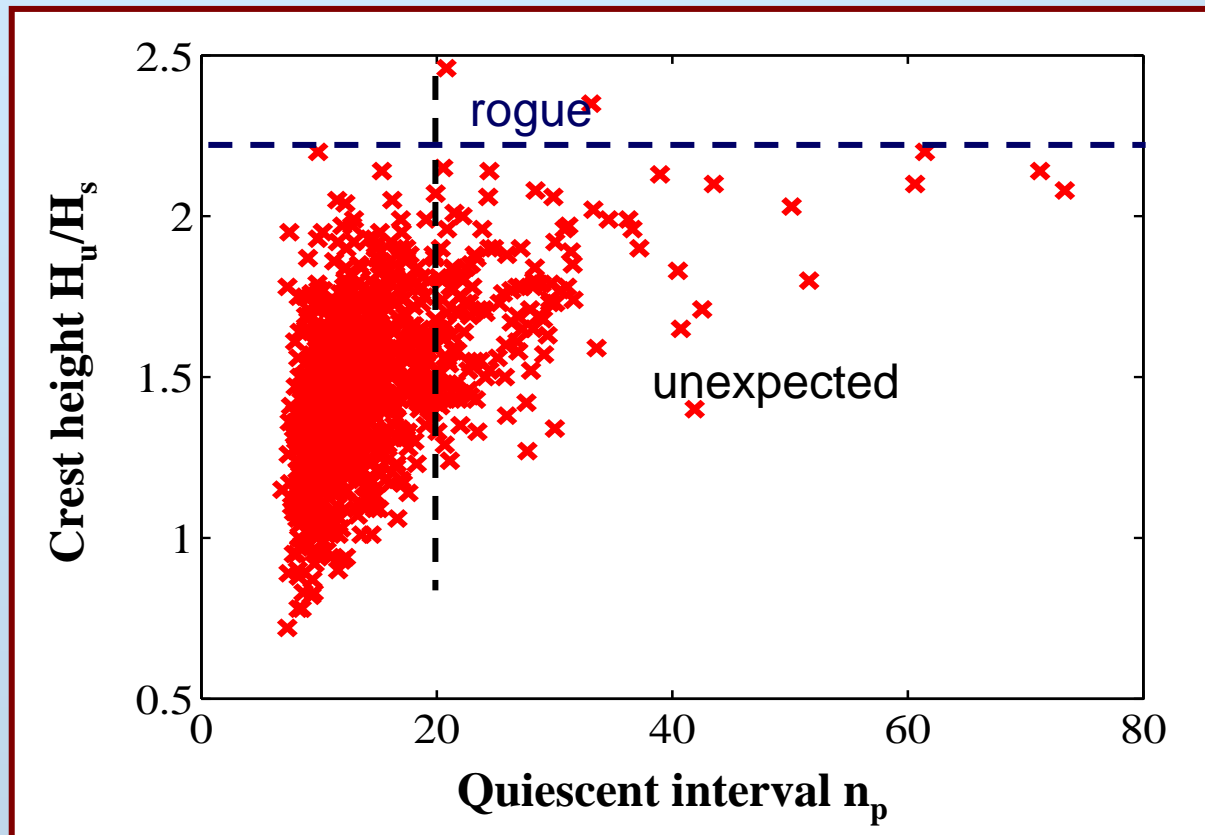
# Height of unexpected waves

10 h time series

$10^4$  simulations

$\alpha = 2$

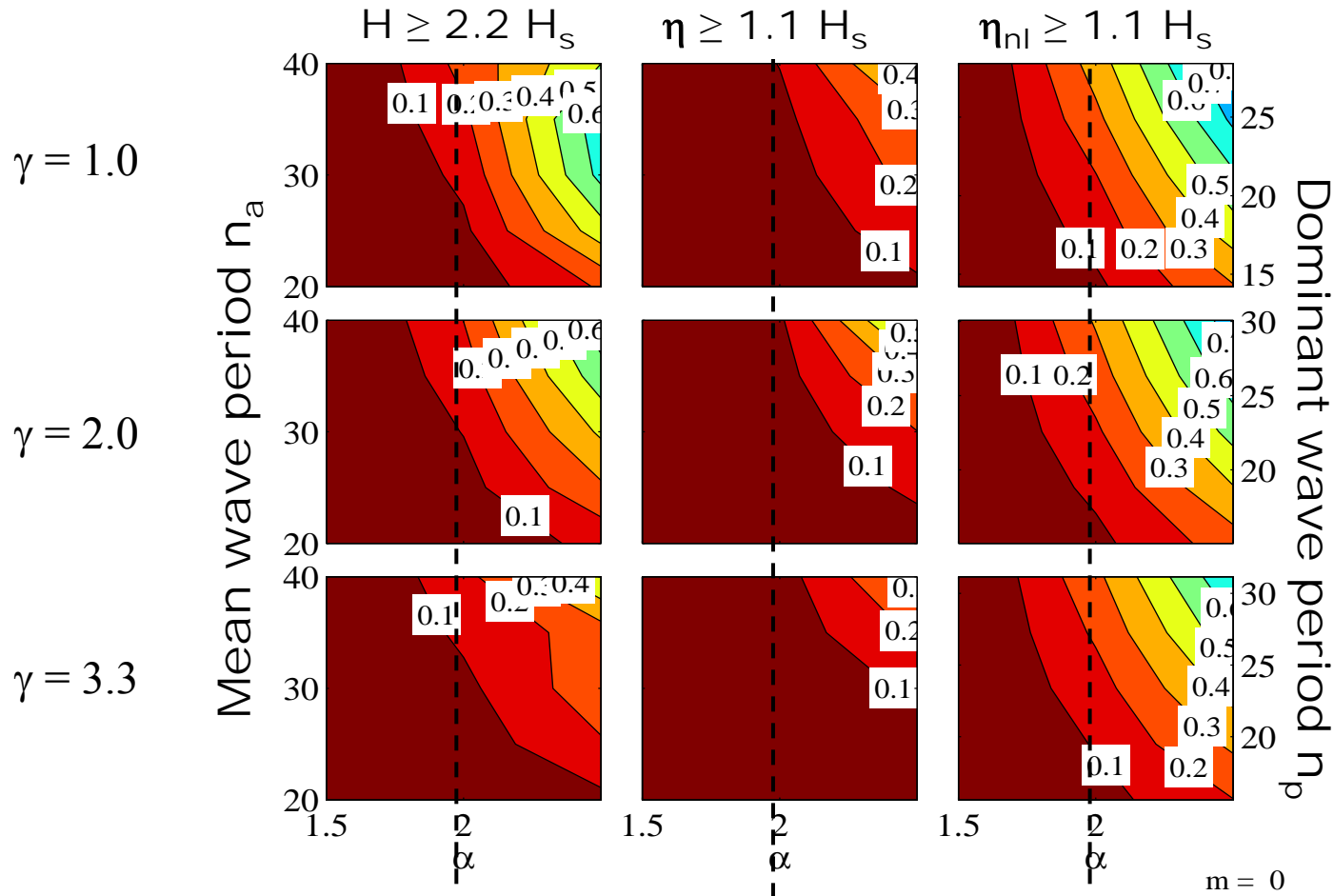
Height of most unexpected wave (largest  $n_p$ ) in each simulation



Note:  $n_p \geq 8$

# Are unexpected waves rogue waves?

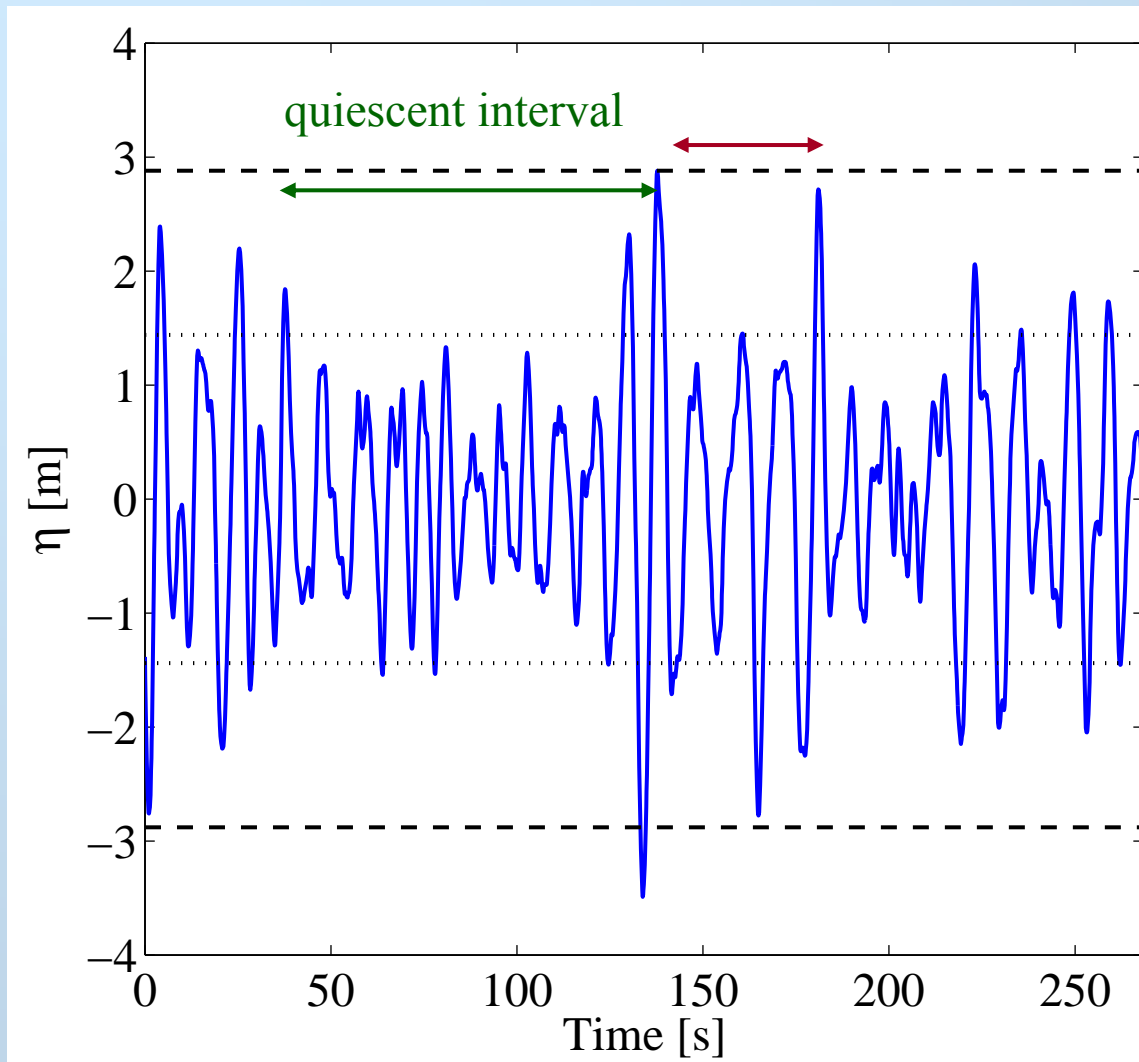
Fraction of unexpected waves that also qualify as rogue waves



$H_s$ : significant wave height

*Unexpected wave are generally not a rogue wave*

## Unexpected wave – subsequent quiescence



a wave crest  
 $\alpha$  times as high  
as any of the  
preceding **and**  
**subsequent**  $n_a$   
crests.

$n_a$ : minimum length of  
preceding and subsequent  
quiescent interval

Based on  $\eta$ ,  $m = 1$



## Unexpected waves (2-sided) – occurrence rate

A wave twice as high as any of the preceding and following 30 waves occurs ( $\alpha=2$ ,  $n_a=30$ )

every $O(10^8)$ waves, (based on H)	$\Longrightarrow$	$O(30)$ years recurrence
every $5 \times 10^7$ waves, (based on $\eta$ )	$\Longrightarrow$	$O(10)$ years recurrence
every $5 \times 10^6$ waves, (based on $\eta_{nl}$ )	$\Longrightarrow$	$O(1)$ year recurrence

# Are 2-sided unexpected waves rogue waves?

Fraction of 2-sided unexpected waves ( $a=2$ ,  $n_a=30$ ,  $m=0$ )  
that also qualify as rogue waves:

Based on  $H$ : 90%

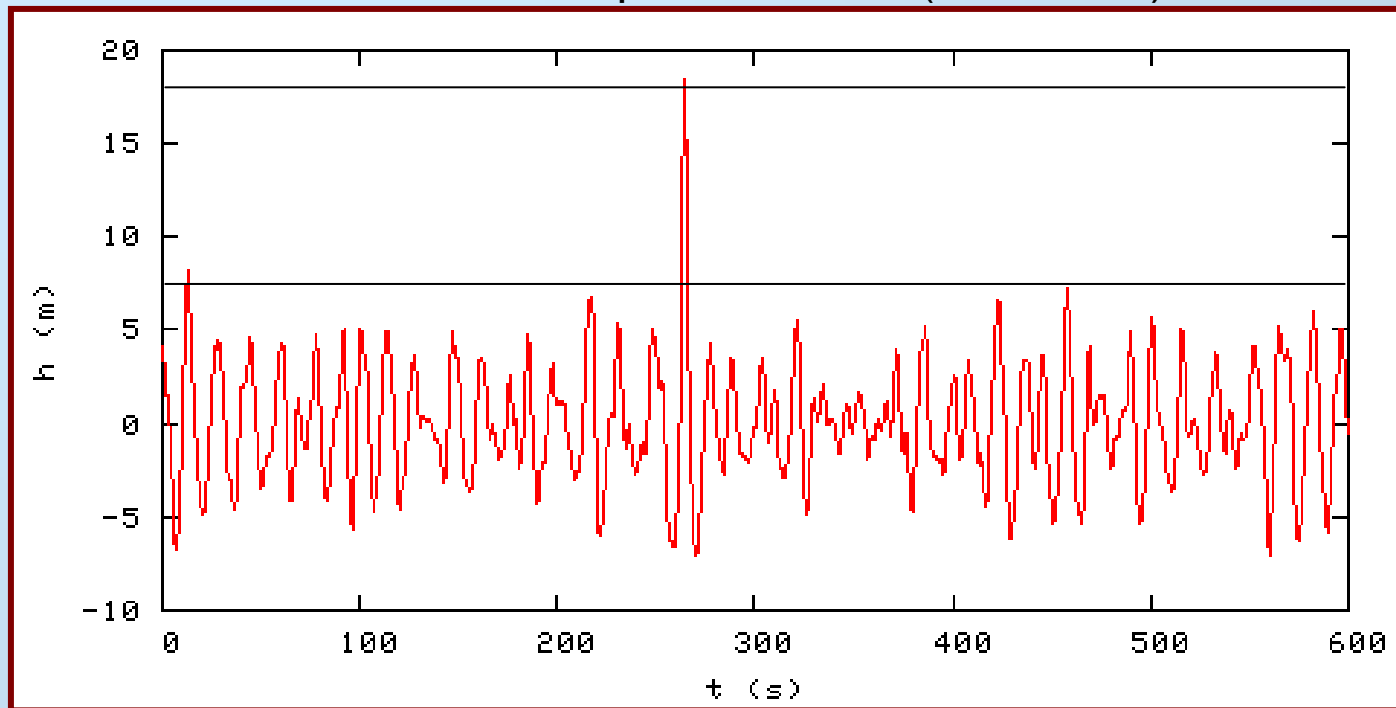
Based on  $\eta$ : 60%

Based on  $\eta_{nl}$ : 80%

*Most 2-sided unexpected waves are rogue waves*

# Rogue wave ?

“New Year’s Wave” at Draupner Platform (North Sea), 1995



2-sided unexpected wave,  $\alpha = 2.3$ ,  $n_p = 15$



Expected occurrence rate (based on  $\eta_{nl}$ ) :

**every 2 years !**

( $\alpha = 2.3$ ,  $n_p = 30$ : Expected occurrence rate : **every 30 years !**)

# Conclusion

Based on Gaussian statistics,

**unexpected waves are expected**

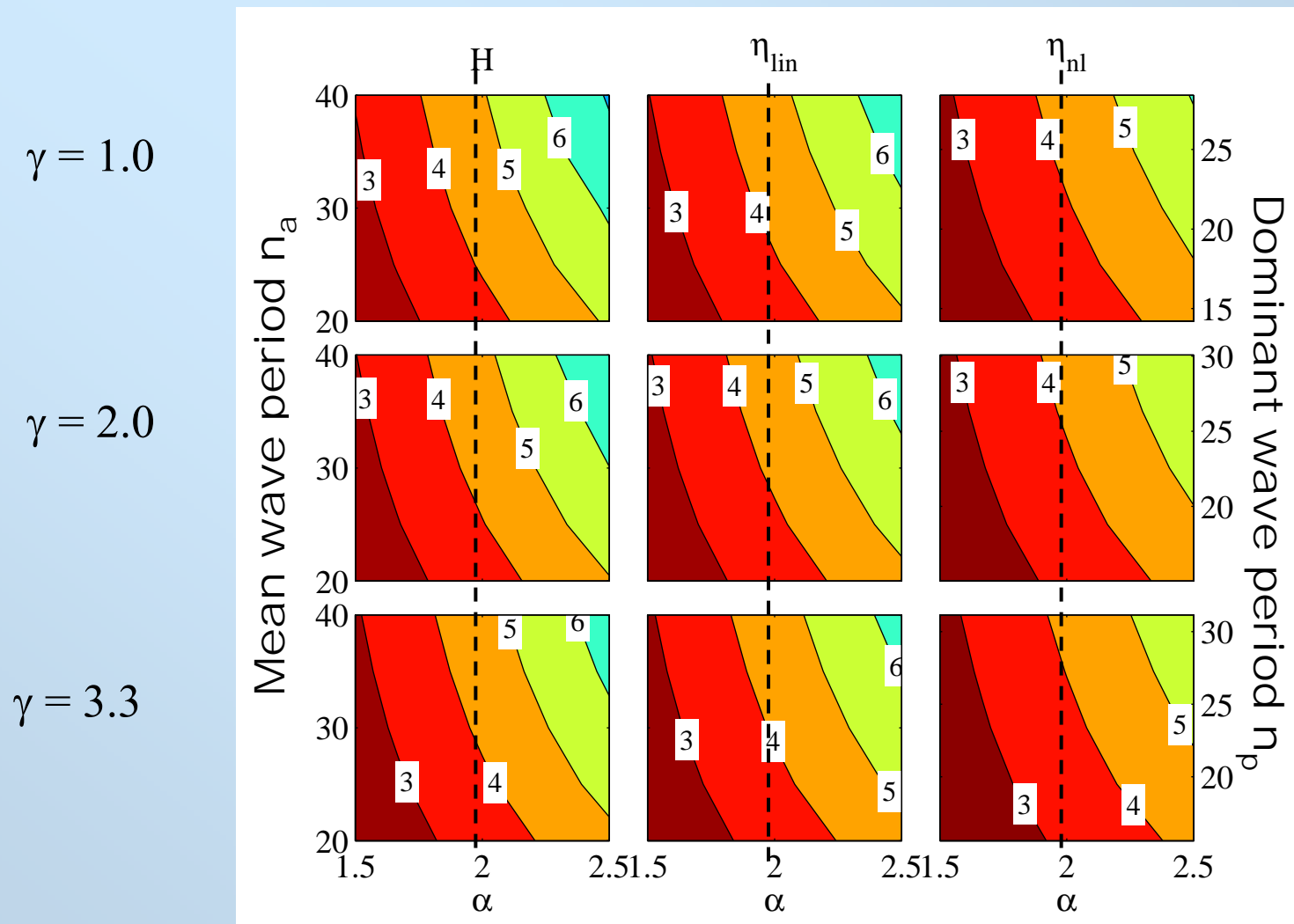
- **recreational boating**
  - **visitors to beaches**
  - **deployments from offshore vessels**
- 20 to 30 wave periods quiescent conditions - significant



# Appendix

# Unexpected wave occurrence $R(\alpha, n_a)$

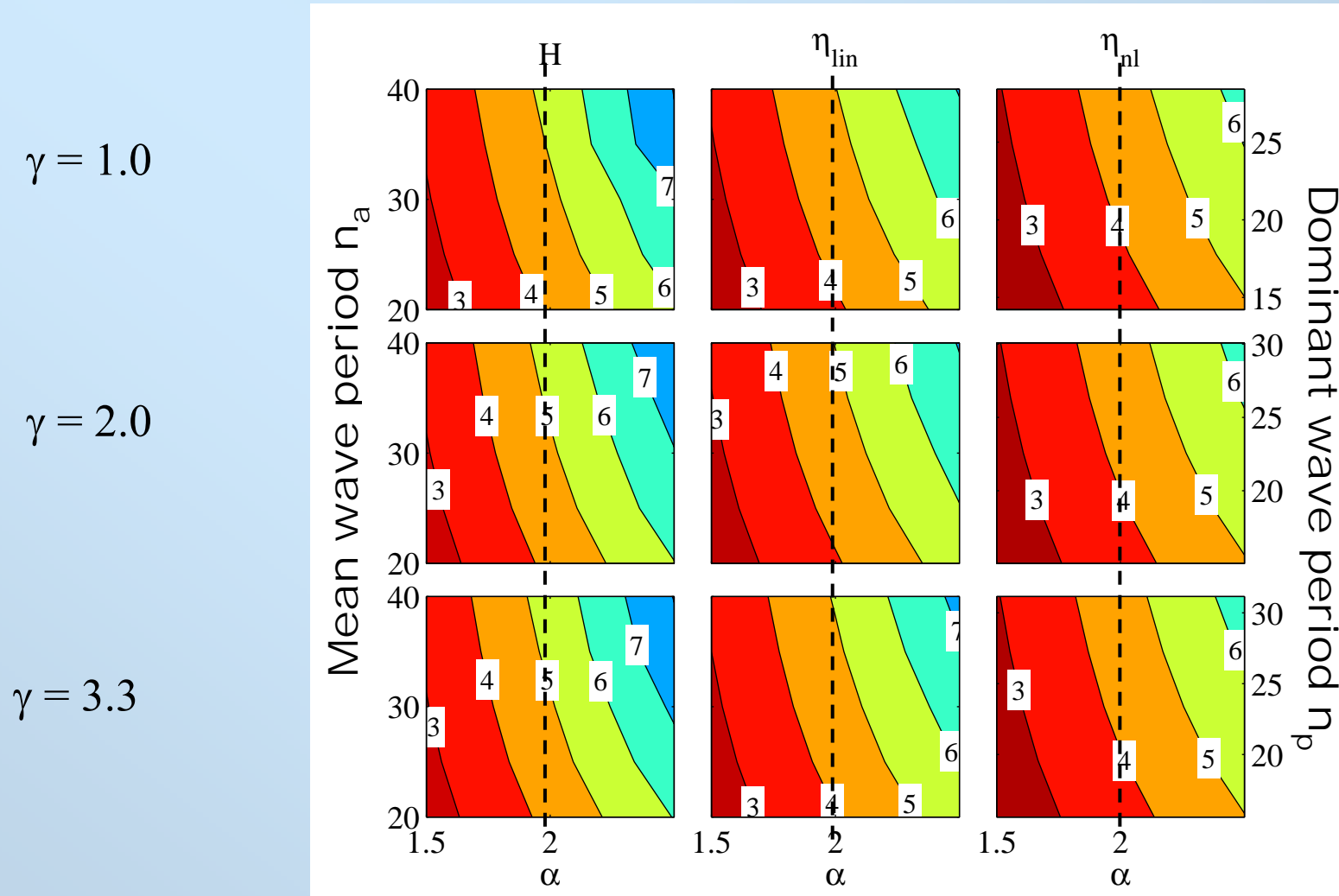
( $\log_{10}$  of return time in peak periods). 1 wave build-up



An *unexpected* wave ( $\alpha=2, n_a=30, m=1$ ) is more frequent than a *rogue* wave

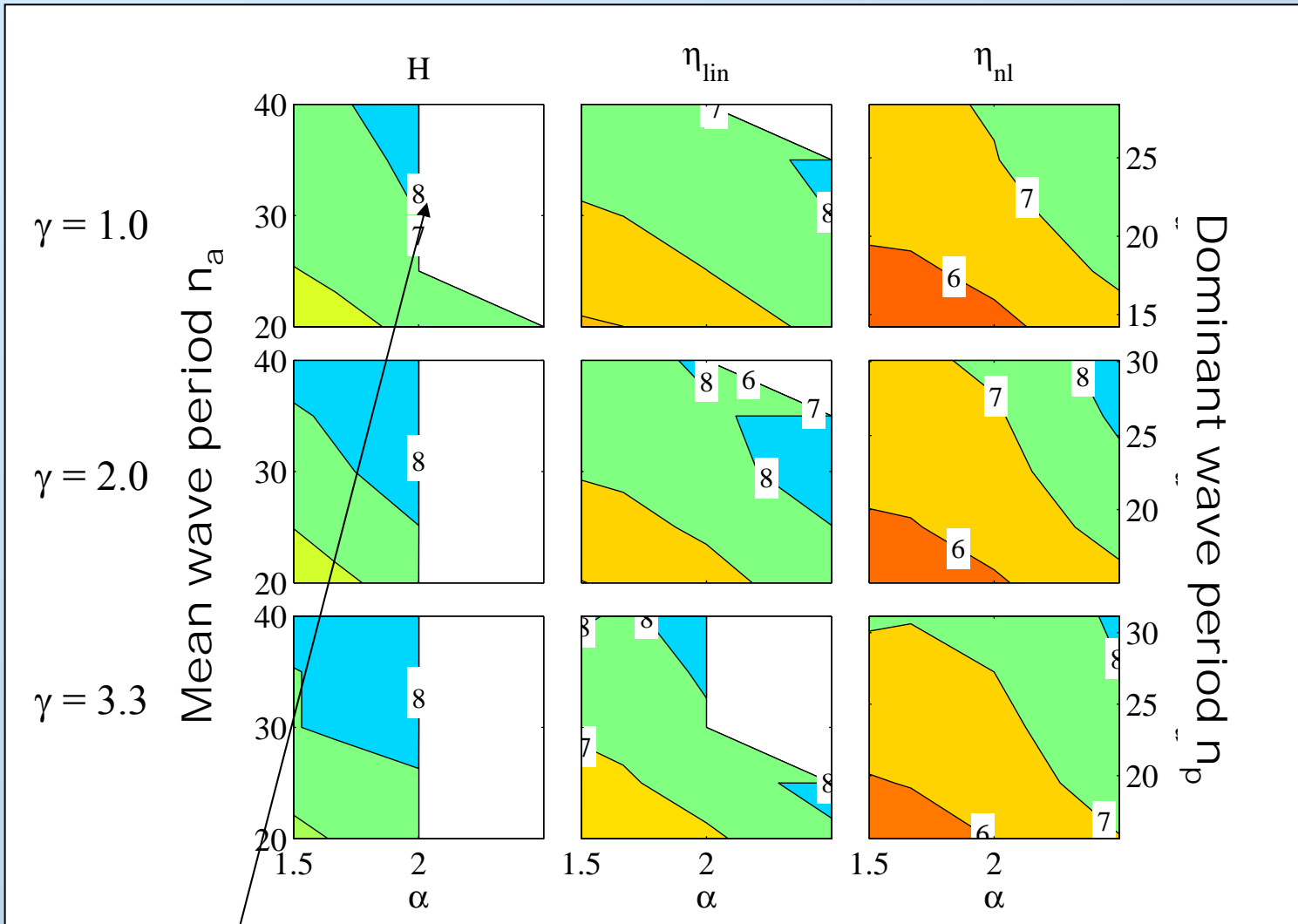
# Unexpected wave occurrence $R(\alpha, n_a)$

( $\log_{10}$  of return time in peak periods)



An *unexpected* wave is slightly less frequent in young seas

# Unexpected waves (2-sided) – occurrence rate



A wave twice as high as any of the preceding and following 30 waves occurs every  $O(10^8)$  waves

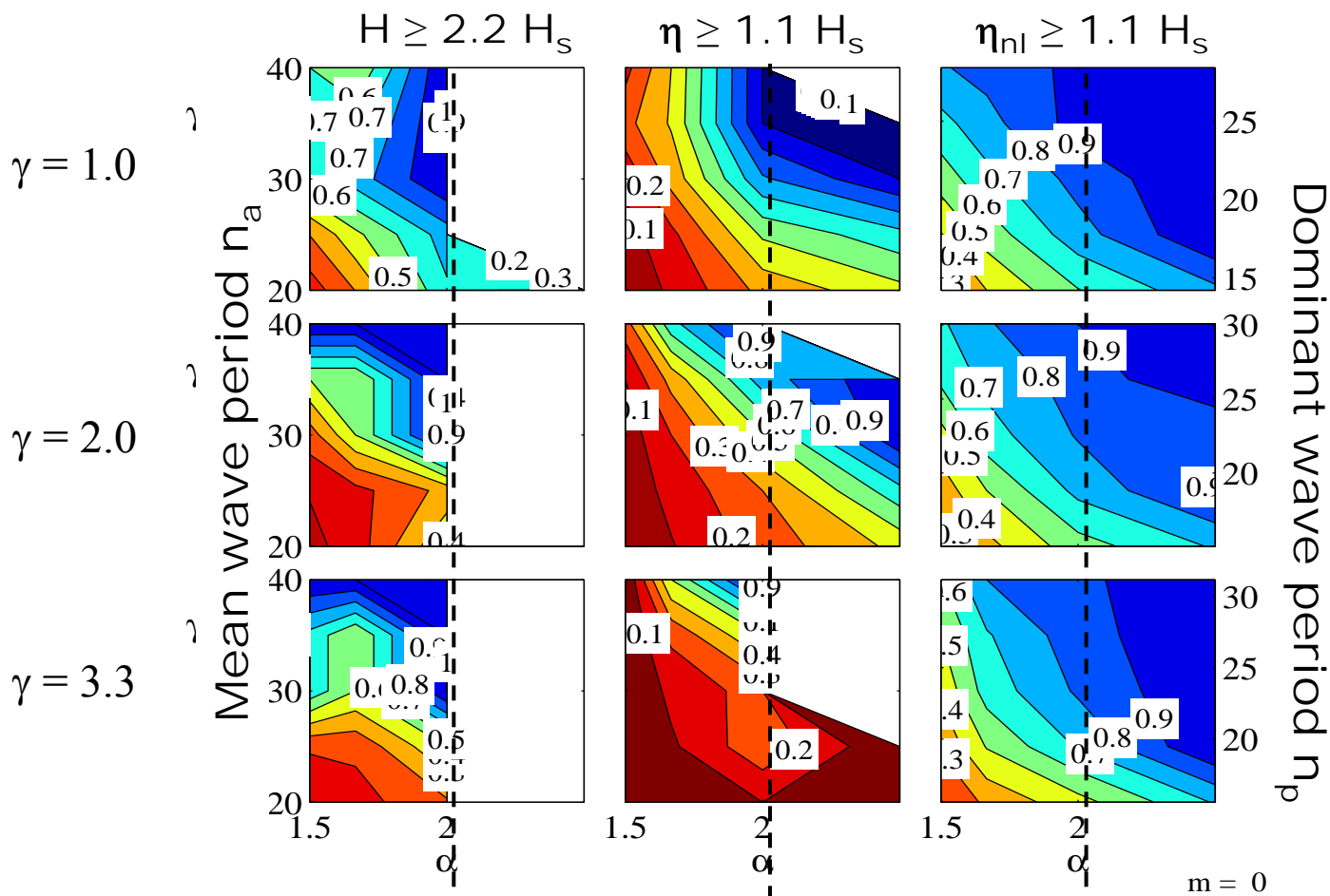


$O(30)$  years recurrence



# Are 2-sided unexpected waves rogue waves?

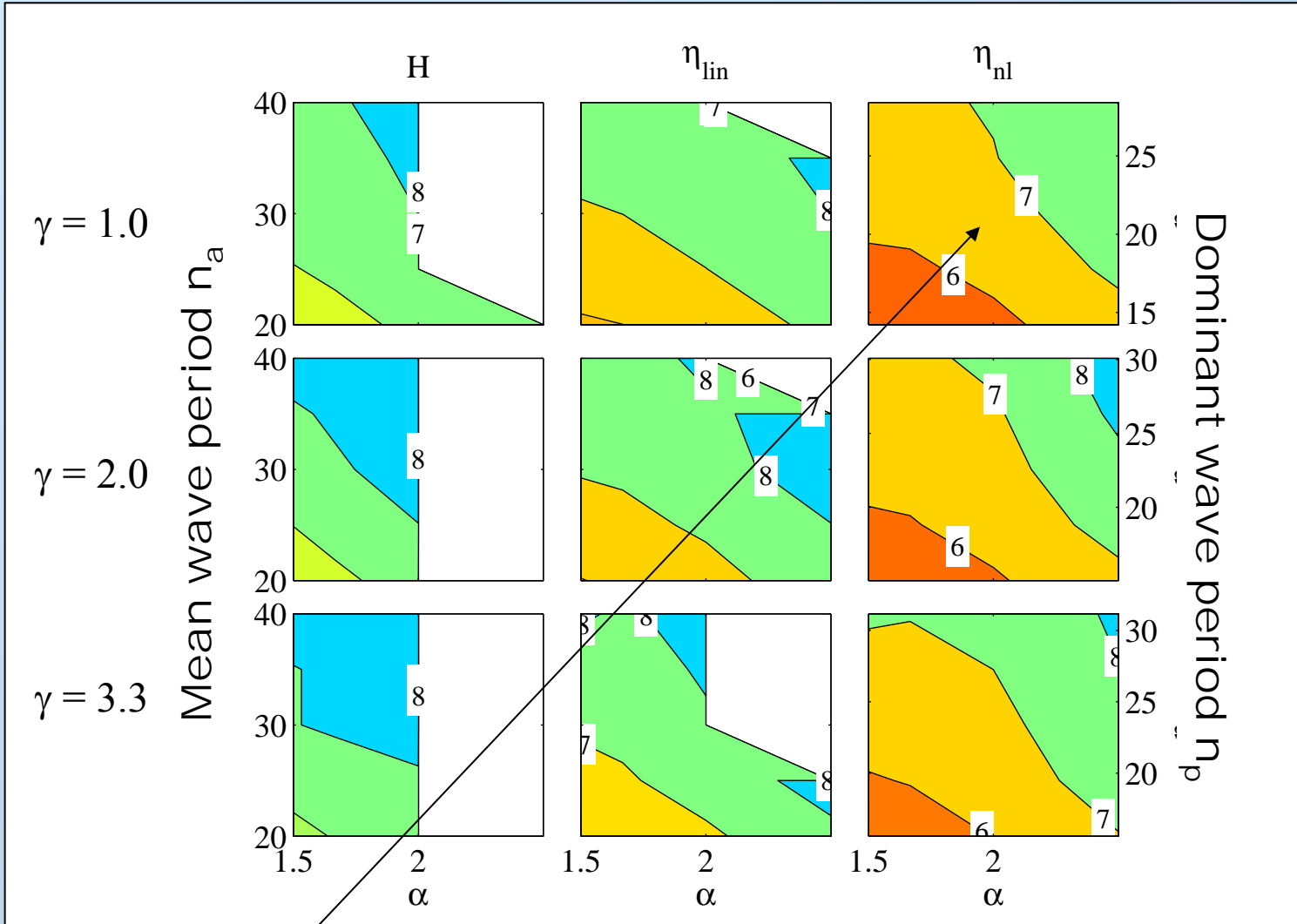
Fraction of unexpected waves that also qualify as rogue waves



$H_s$ : significant wave height

Most 2-sided unexpected waves are a rogue wave

# Unexpected waves (2-sided) – occurrence rate

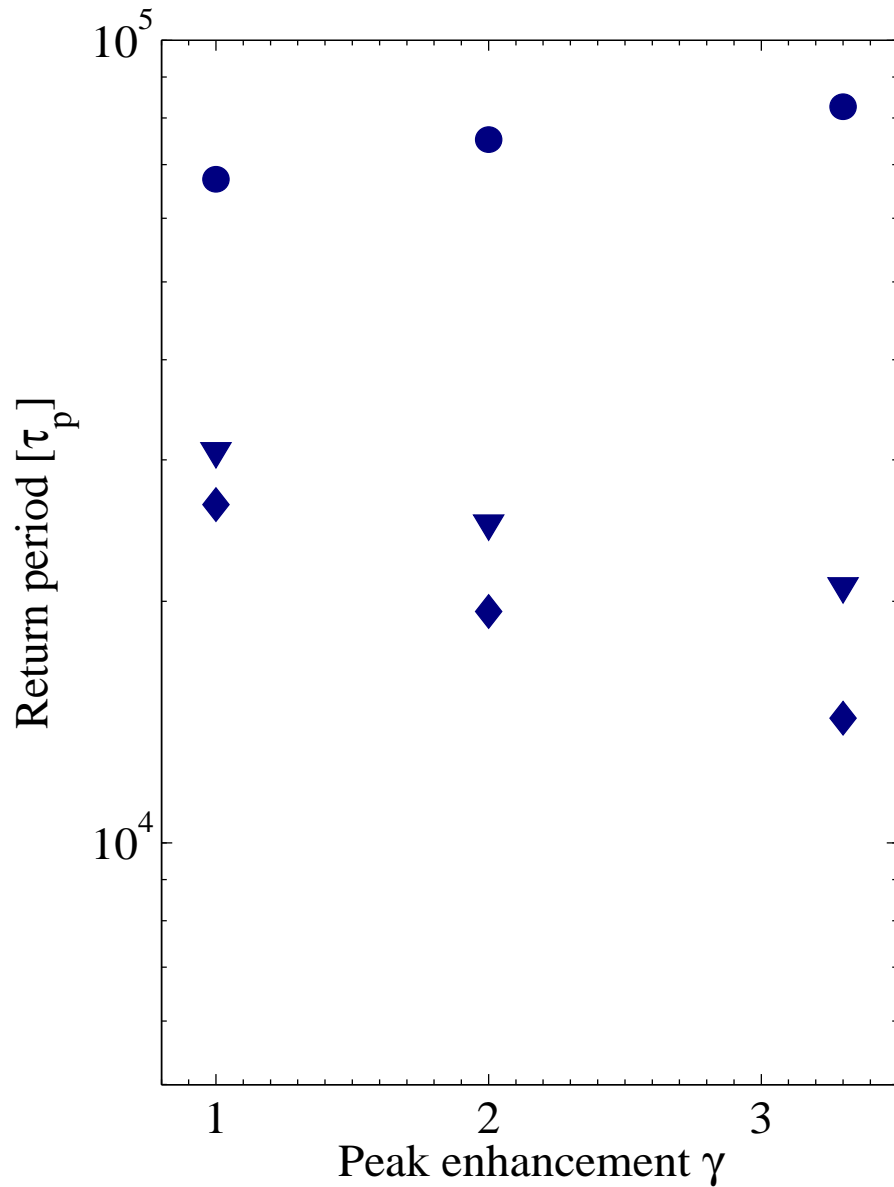


A wave crest twice as high as any of the preceding and following 30 crests occurs every  $5 \times 10^6$  waves



410 days  
recurrence

# Occurrence probability – wave development, group length



No build-up

1 wave build-up

2 waves build-up

$$H > 2H_W$$

$$\alpha = 2, n_p = 20$$

developed sea

young sea

# Unexpected wave – Monte Carlo simulations

- linear, random superposition of wave Fourier components
- Fourier components based on JONSWAP spectra, varying peak parameter  $\gamma$

10 h time series

$10^4$  simulations



statistics of unexpected waves

Analyze:

$\eta$ : linear crest height

$H$ : linear trough-crest wave height

$\eta_{nl}$ : second order non-linear crest height  $\eta_{nl} = a \cos \theta + \frac{1}{2} k a^2 \cos(2\theta)$

