



THE HIGH-FREQUENCY PORTION OF WIND WAVE SPECTRA AND ITS IMPLICATIONS

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MOTIVATION

Spectral shape provides critical information for understanding source term balance in wind wave spectra

Example is Equilibrium Range forms:

Phillips, Kitaigorodskii (1958-1960's)

$$E(f) \sim f^{-5}$$

Toba, Donelan, Belcher, etc. (1974-1990's)

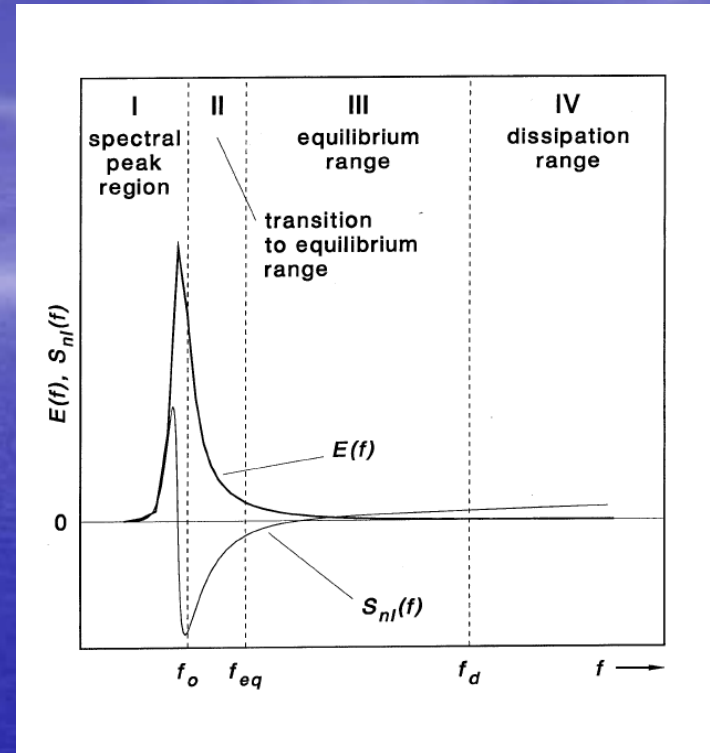
$$E(f) \sim u f^{-4}$$

Resio, Long & Vincent (2004-2001)

$$E(f) \sim (u^2 c_p)^{1/3} f^{-4}$$

Forristall; Long & Resio (1981-2007)

$$F(f) \sim f^{-4} \longrightarrow f^{-5}$$

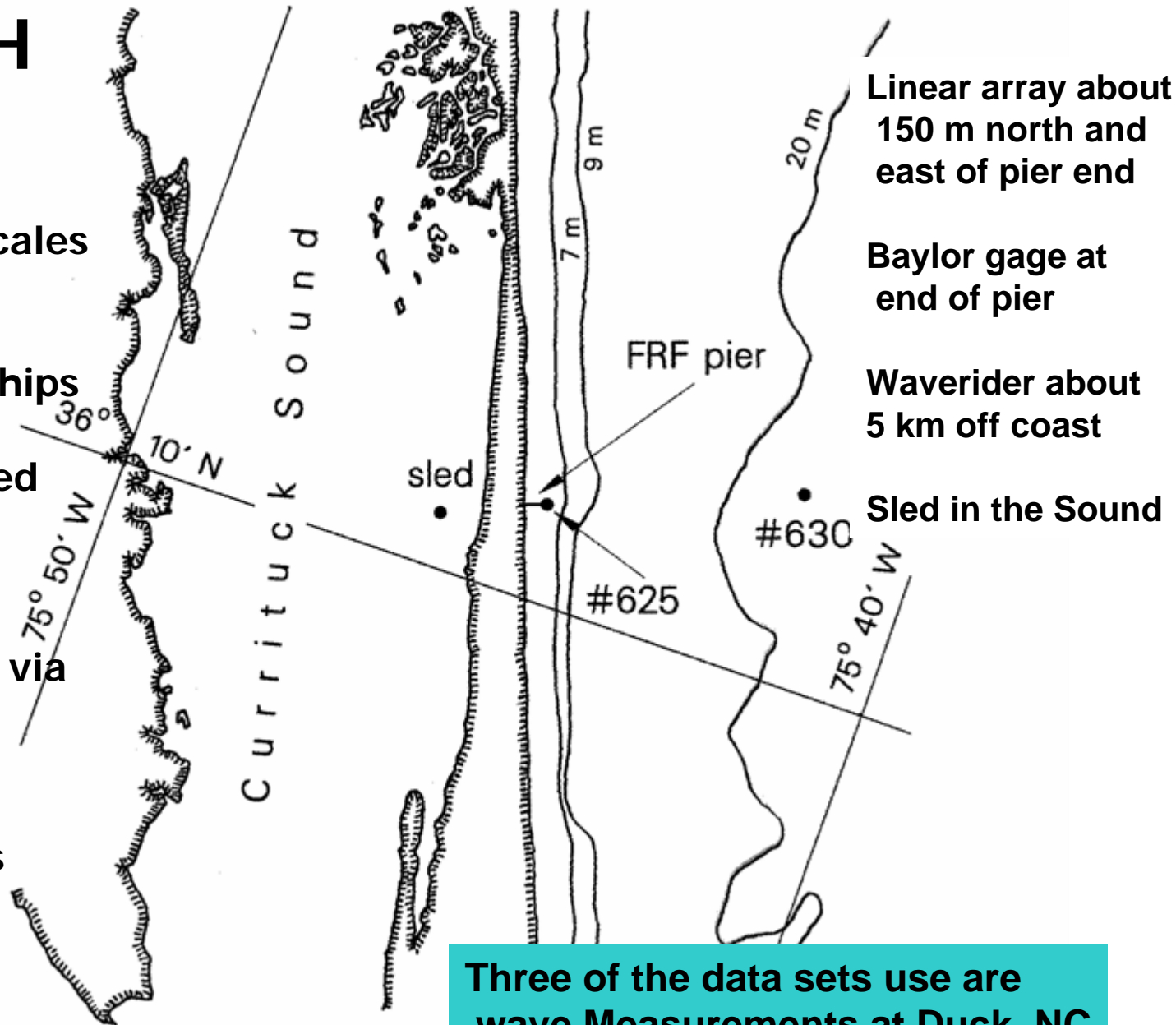


Impacts:

- Air-sea interaction
- Model source terms
- Wave set up

APPROACH

- Use data from a wide range of scales
- Develop physical scaling relationships
- Compare expected scaling behavior with data sets
- Interpret results via individual wave kinematics
- Examine impacts on other area



CONCLUSIONS

- Spectra consistently transition from equilibrium range to an f^{-5} form at high frequency in all data sets
- Transition location is consistent with a balance between nonlinear fluxes and high-frequency dissipation
- Kinematic constraints suggest little or no breaking in the spectral peak region
- High-frequency breaking primarily due to local accelerations at high frequencies or orbital velocities exceeding $c/2$
- This has very significant implications for wave model source terms, air-sea interactions, and wave set-up at coast

Phillips, 1958 $E(f) \sim \alpha_5 g^2 f^{-5}$

Toba, 1974 $E(f) \sim \alpha_4 u g f^{-4}$

where

α_4 is the equilibrium range coefficient and
 u is term with units of velocity.

Resio, Long, & Vincent 2001 $E(f) : \alpha_4 (u^2 c_p)^{1/3} f^{-4}$ Where
 $C_p =$ phase velocity of spectral peak

Forristall, 1981 $E(f) \sim \alpha_4 u g f^{-4} \rightarrow \sim \alpha_5 g^2 f^{-5}$
for $\hat{f} (= u f g^{-1}) > const.$

Switching to wavenumber spectral basis

$$F(k) = \frac{\beta}{\sqrt{g}} k^{-5/2}$$

where

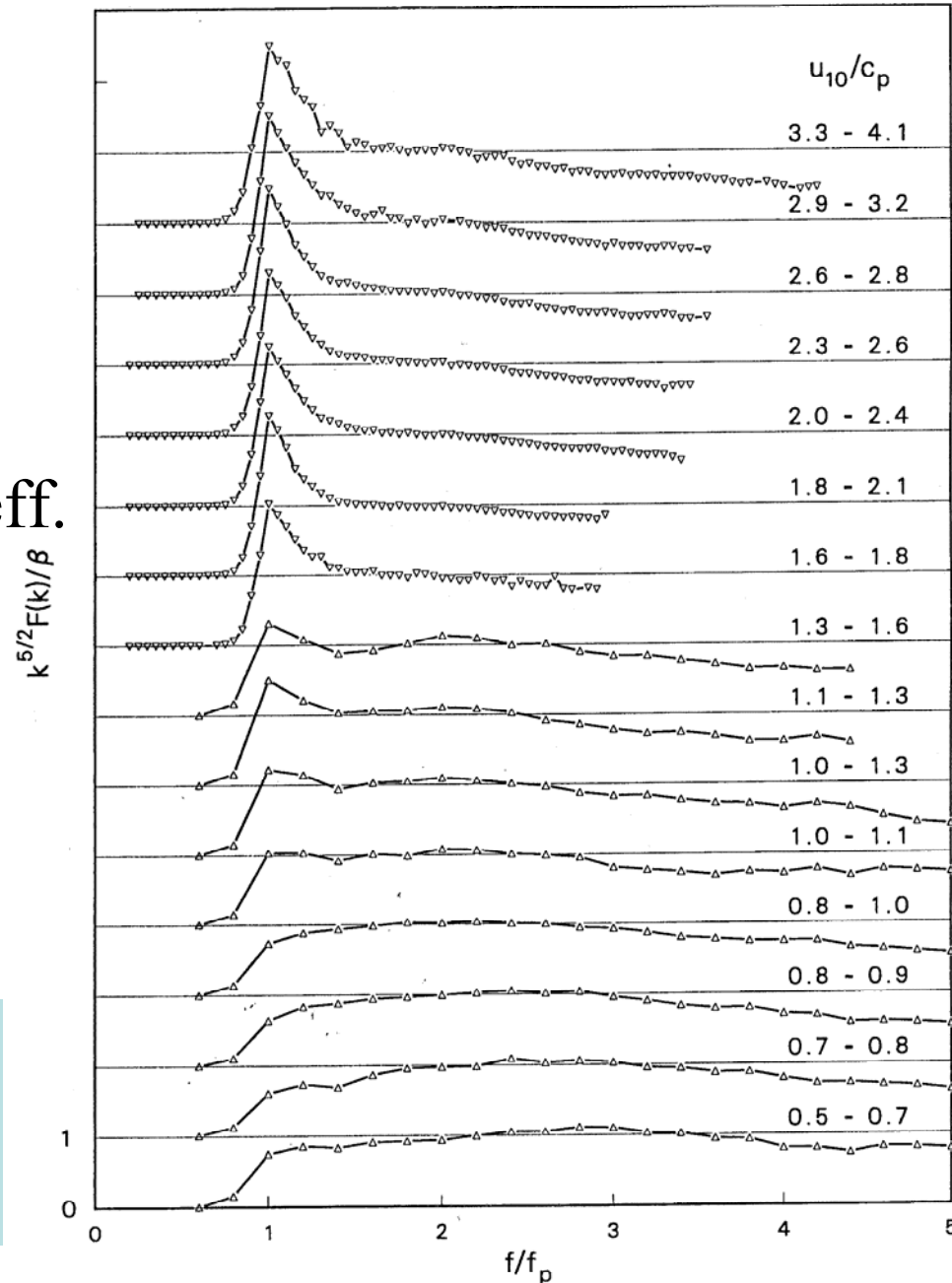
β is the equilibrium range coeff.

k is wavenumber.

In deep water:

$$\beta = \frac{1}{2} \alpha_4 u g^{-1/2}$$

Equilibrium form appears to transition to different form at high frequencies



Simple idea of energy and momentum balance (similar to Hasselmann et al 1973)

In equilibrium range:

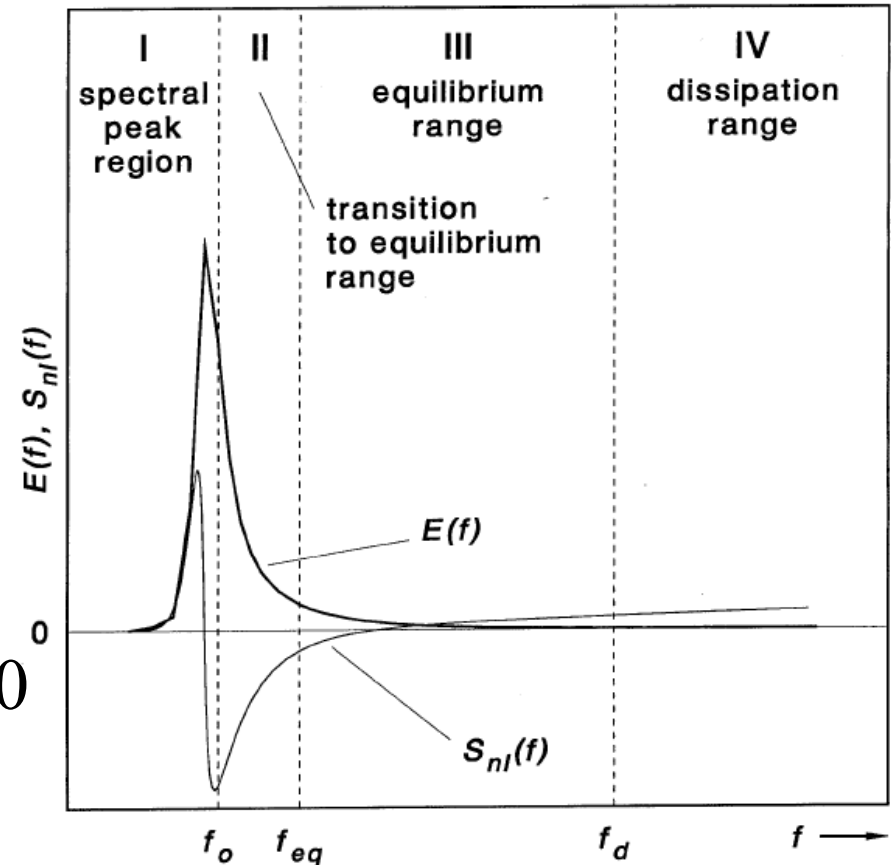
$$\frac{\partial \Gamma_E}{\partial f} = \sum (S_{in}(f) + S_{ds}(f)) \approx 0$$

where

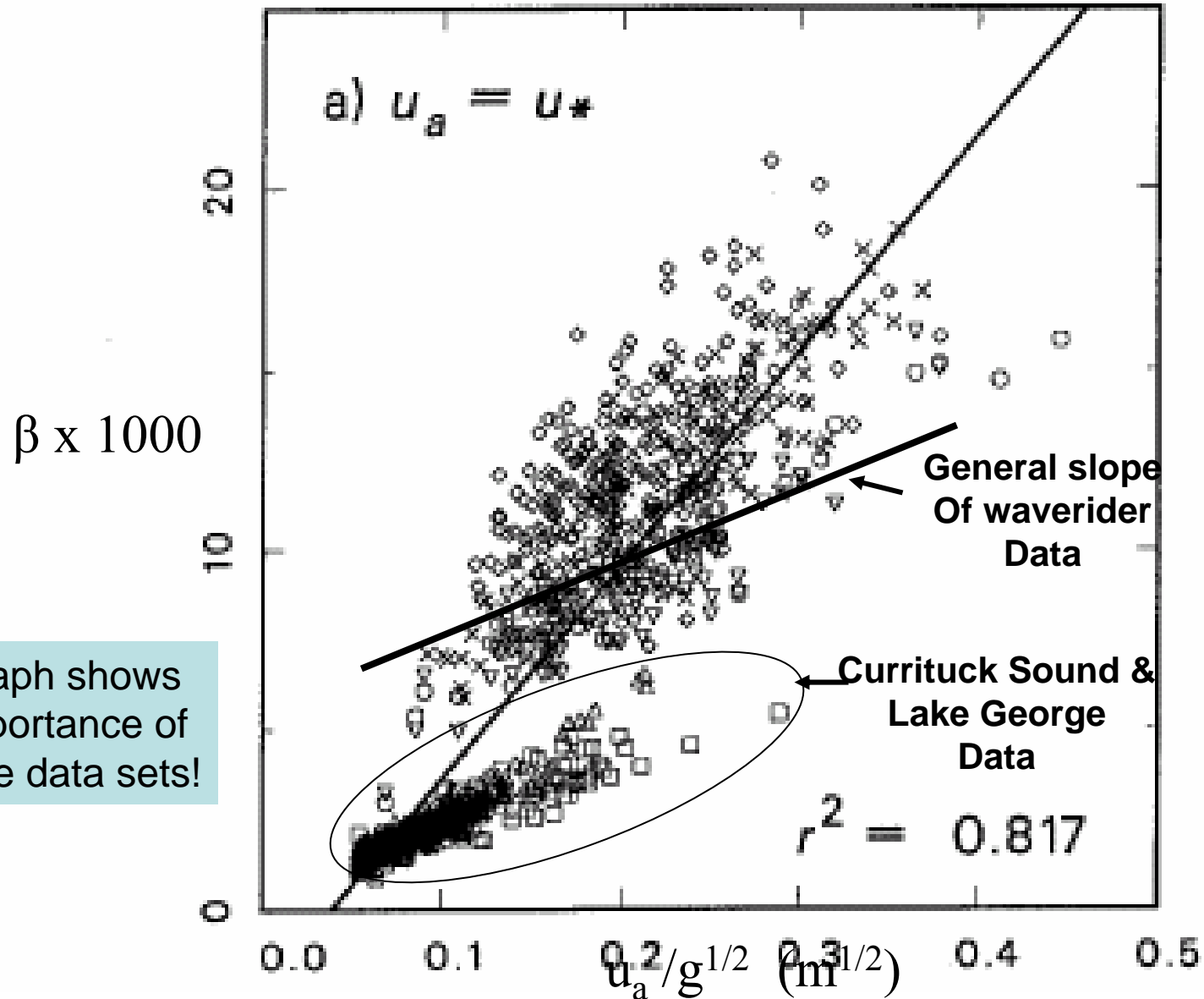
Γ_E is the net flux of energy through the spectrum

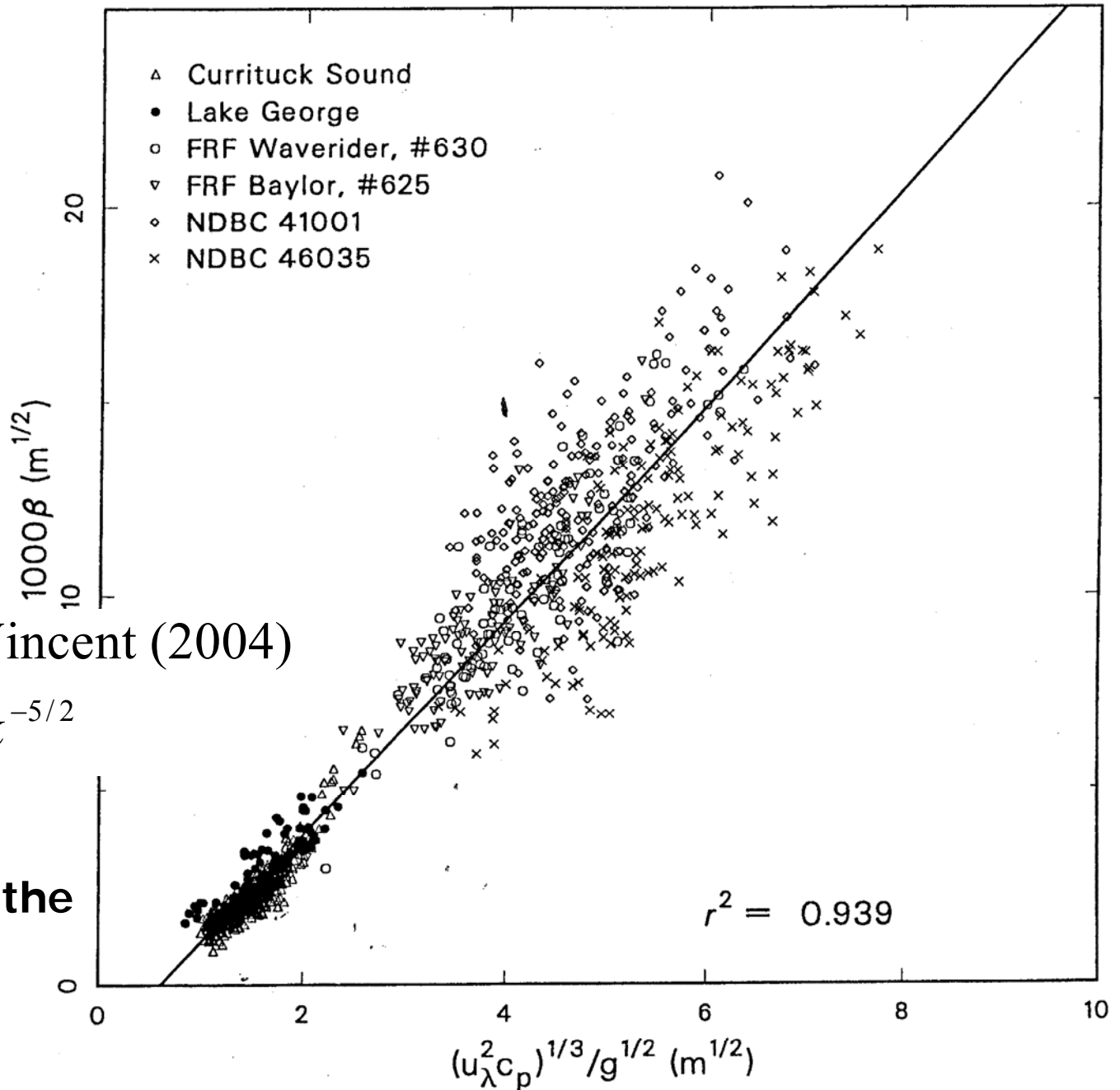
$S_{in}(f)$ is the wind input at frequency f ,

$S_{ds}(f)$ is the dissipation sink at frequency f .



Toba, Belcher and others have postulated that β is linearly proportional to wind speed. This clearly does not work for multiple data sets.





Resio, Long & Vincent (2004)

$$F(k) : (u^2 c_p)^{1/3} k^{-5/2}$$

But where does the transition to a high-frequency form occur?

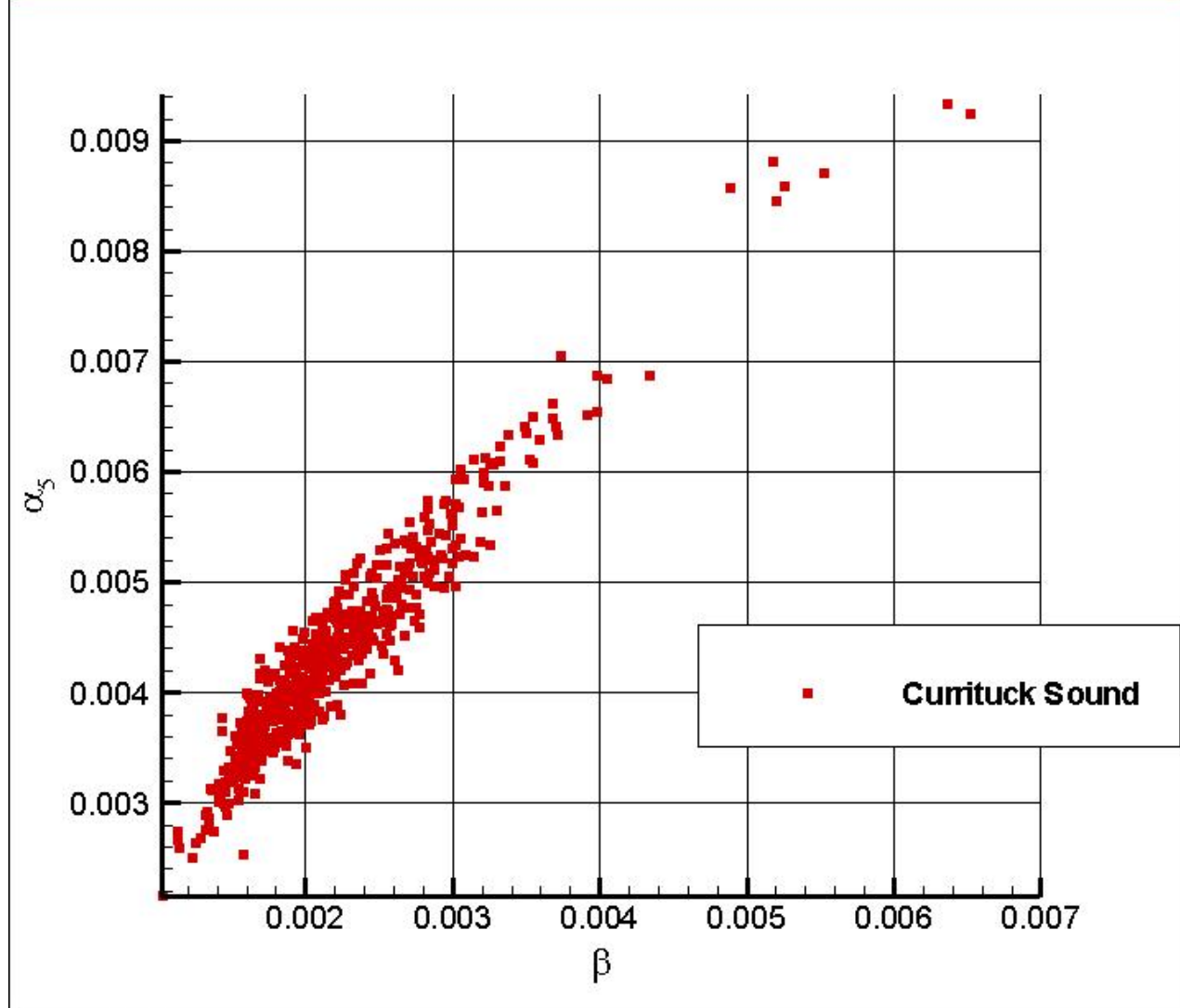
Hypothesis: If wave breaking is confined primarily to a high-frequency range then the energy loss rate in this high-frequency zone must balance the Flux of energy toward high frequencies in equilibrium range – given by

$$\Gamma_E = \frac{\Lambda \beta^3}{g}$$

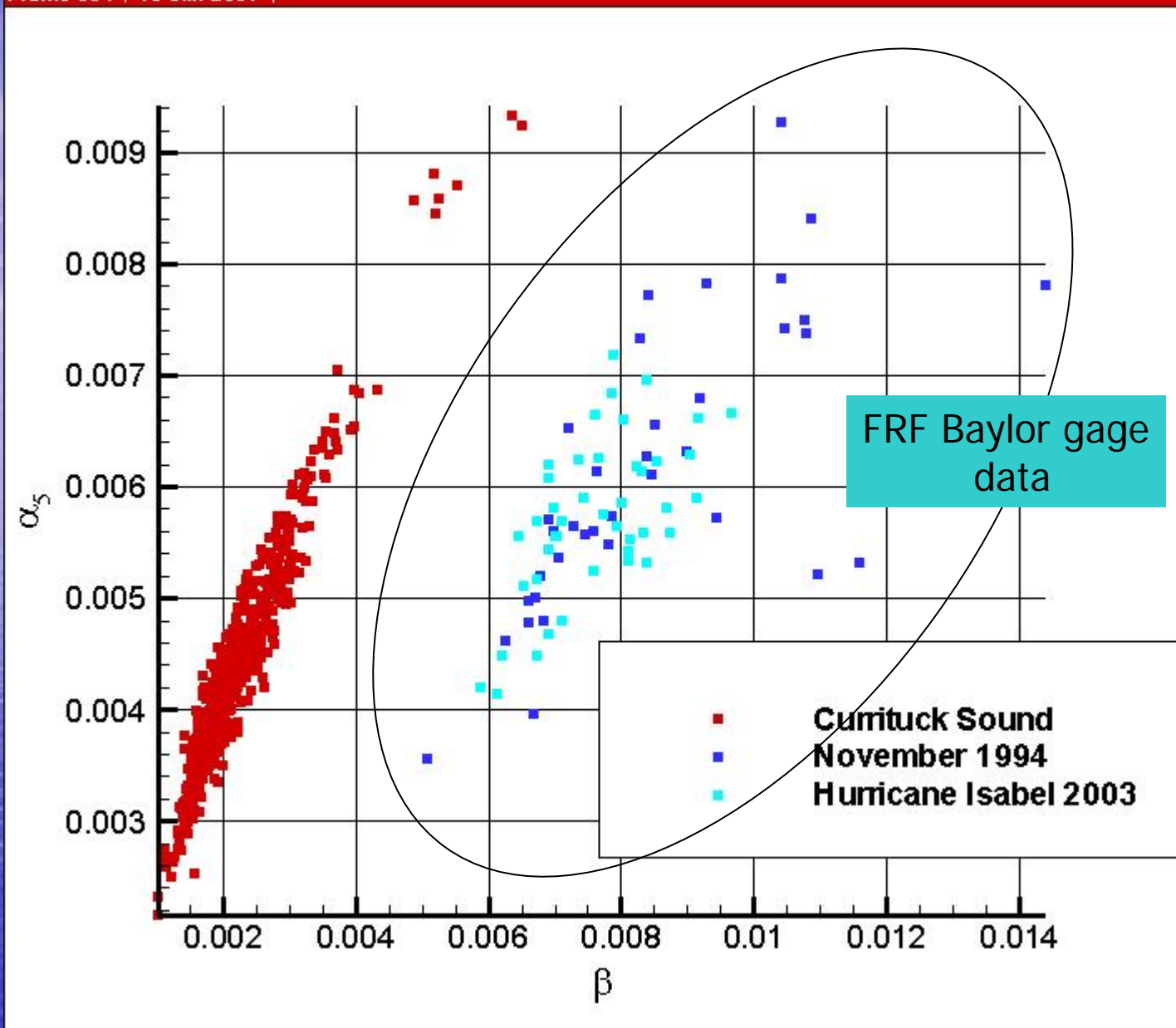
where Λ is a nearly constant dimensionless coefficient.

Data Sources to examine this hypothesis:

- 1. Currituck Sound – capacitance wave array;**
- 2. Field Research Facility (FRF) – Baylor gauge;**
- 3. Field Research Facility (FRF) – Waverider buoy;**
- 4. WACSIS – Baylor gauge; and**
- 5. WACSIS – Waverider buoy.**



**Plot of alpha v. beta for Currituck
Sound data only**



Plot of alpha versus beta for three different sets of wave spectra. There is an obvious scaling difference between the ocean-scale spectra and the Currituck spectra.

For a k^{-3} spectral tail, the energy lost when a wave at wavenumber k_b breaks:

$$\Delta E_L = \frac{\lambda_b \alpha_5 k_b^{-2}}{2}$$

where

ΔE_L is the energy lost when a wave at wavenumber k_b breaks, and λ_b is the proportion of the energy that is lost in a single breaking event.

$$\alpha_5 k_x^{-3} \sim \beta g^{-1/2} k_x^{-5/2} \longrightarrow \alpha_5 \sim \frac{\beta}{c_x}$$

$$\Gamma_{ds} \sim \Delta E_L < f_b >$$

where

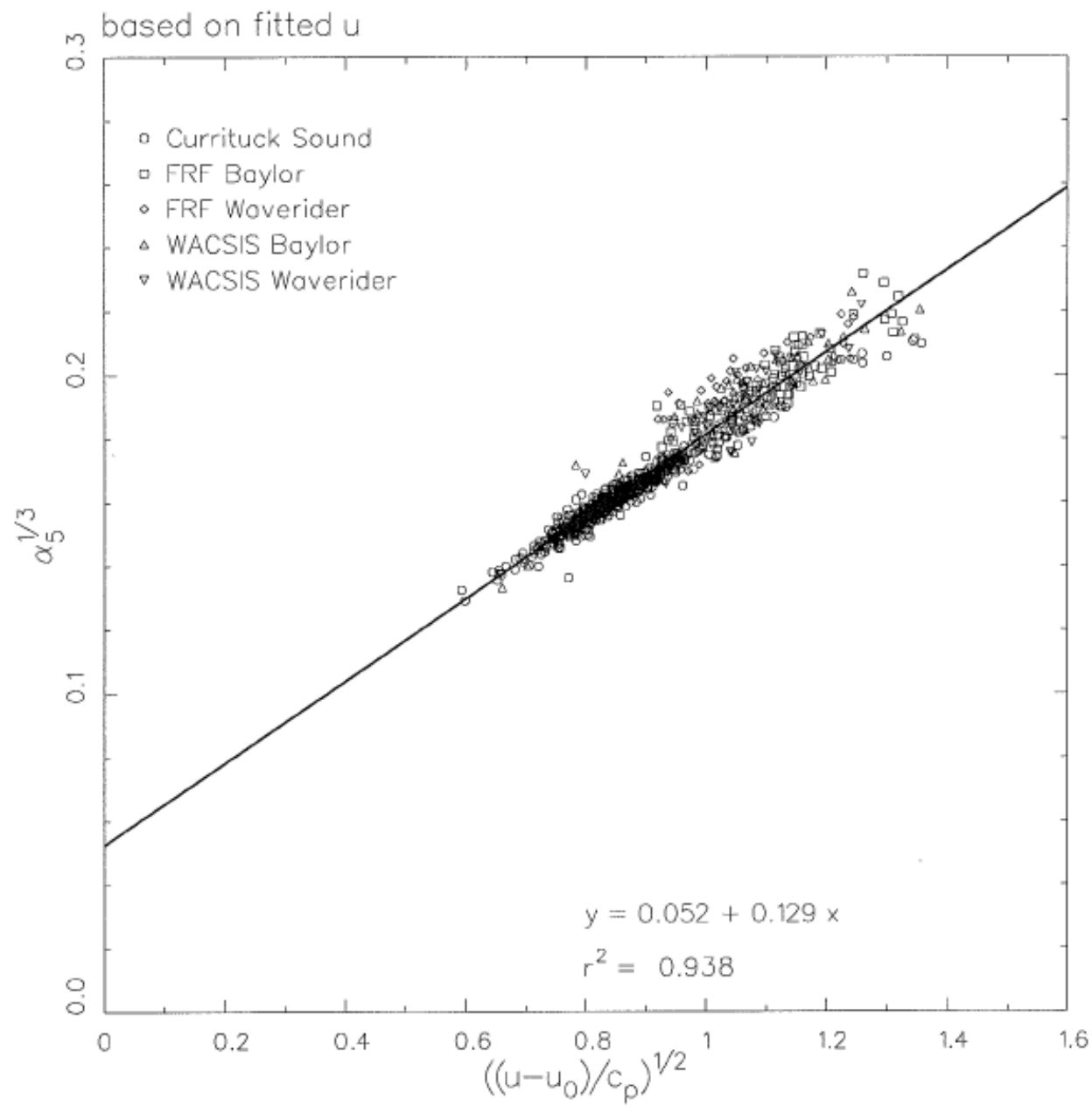
$< f_b >$ is the average frequency of breaking.

For transition frequency in relatively deep water:

$$\Gamma_{ds} \sim \alpha_5 k_x^{-3/2}$$

$$\alpha_5 \sim \left(\frac{\beta}{c_x} \right)^3$$

$$\alpha_5^{1/3} \sim \left(\frac{\beta f_p}{g} \right)^{1/2} \sim \left(\frac{\beta}{c_p} \right)^{1/2} \sim \left(\frac{u - u_0}{c_p} \right)^{1/2}$$



Some algebra shows that we should have the transition relative to the spectral peak frequency at a location that varies with the square root of

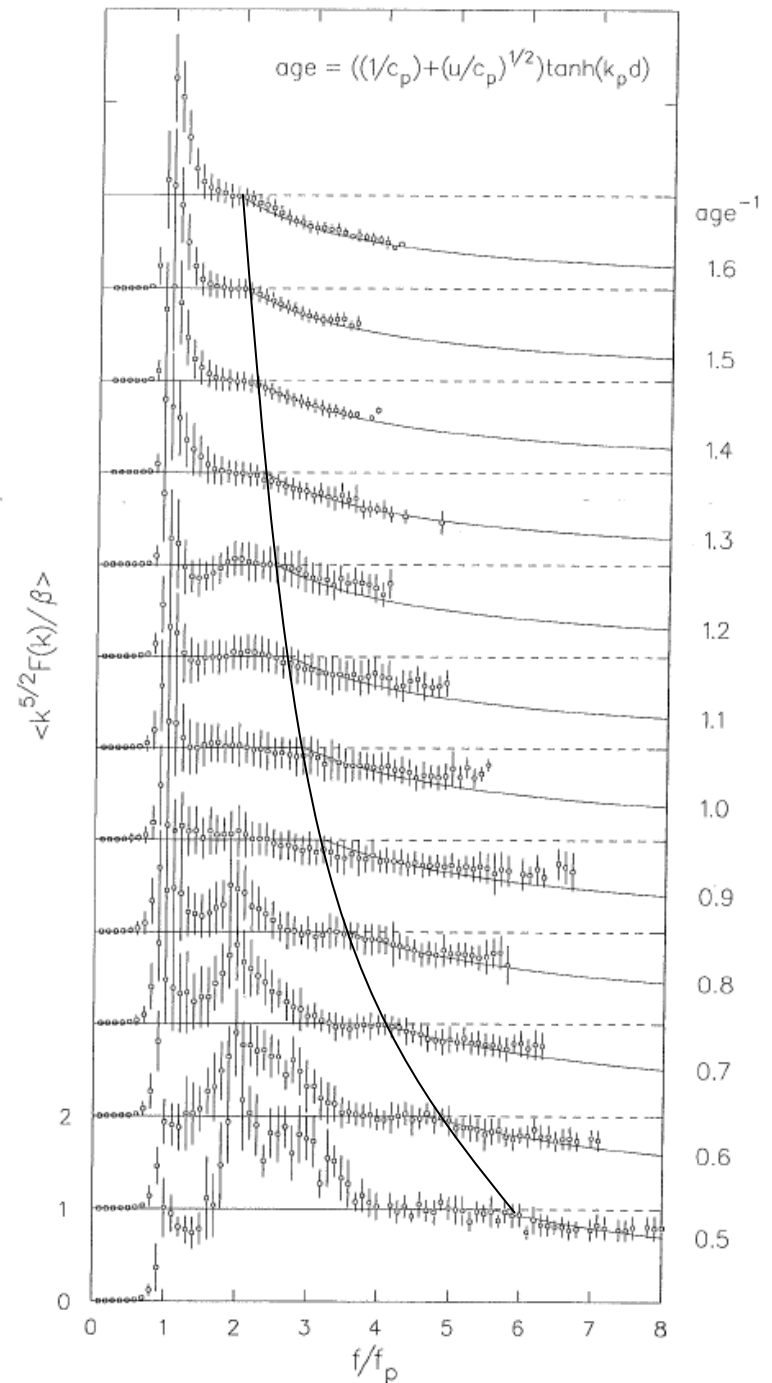
$$\frac{c_p}{\beta} :$$

$$\hat{f}_t = \frac{f_t}{f_p} \sim \sqrt{\frac{c_p}{\beta}}$$

where

\hat{f}_t is the relative frequency of the transition. Note that Forristall (1981) implies

$$f_t \sim \frac{c_p}{\beta}$$



Traditional spectral random phase simulation in time domain:

$$\eta(t) = \sum_k^{\# \text{angles}} \sum_{j=1}^{\# \text{frequencies}} a_{j,k} \cos(\omega_j t + \phi_{j,k})$$

$$u_x(t) = \sum_k^{\# \text{angles}} \sum_{j=1}^{\# \text{frequencies}} \omega_j a_{j,k} \cos(\omega_j t + \phi_{j,k}) \cos(\theta_k)$$

$$\ddot{\eta}(t) = \sum_k^{\# \text{angles}} \sum_{j=1}^{\# \text{frequencies}} \omega_j^2 a_{j,k} \cos(\omega_j t + \phi_{j,k})$$

with

$$a_{j,k} = \sqrt{E(f, \theta) \delta f \delta \theta}$$

where

$\eta(t)$ is the wave surface elevation at time t ,

$a_{j,k}$ is the amplitude of the j^{th} frequency and k^{th} angle region of the spectrum

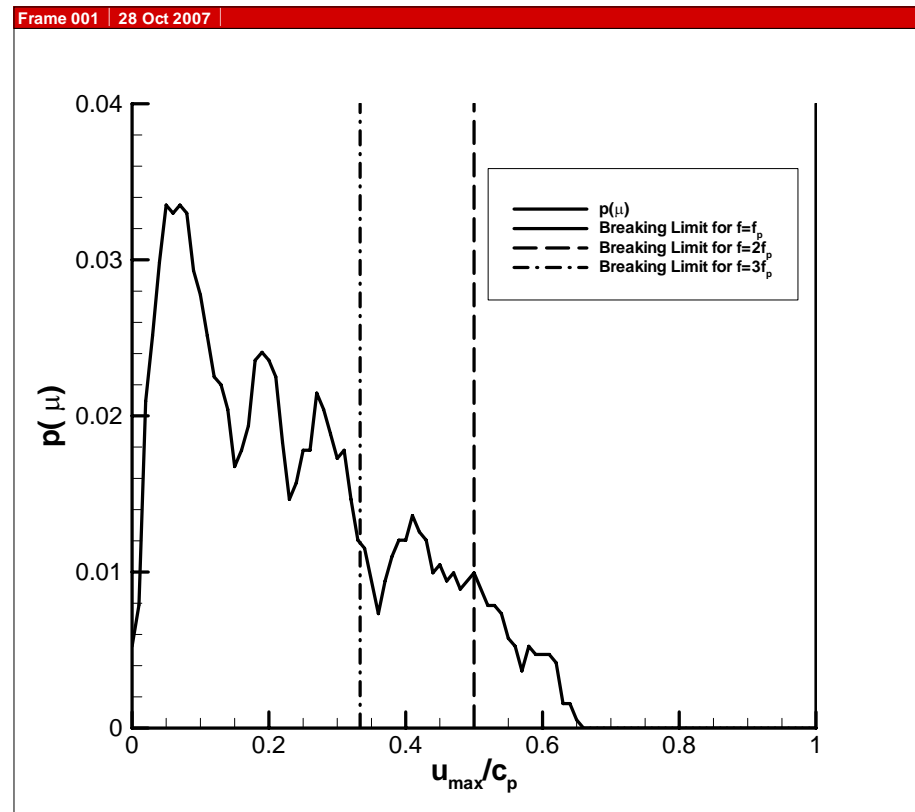
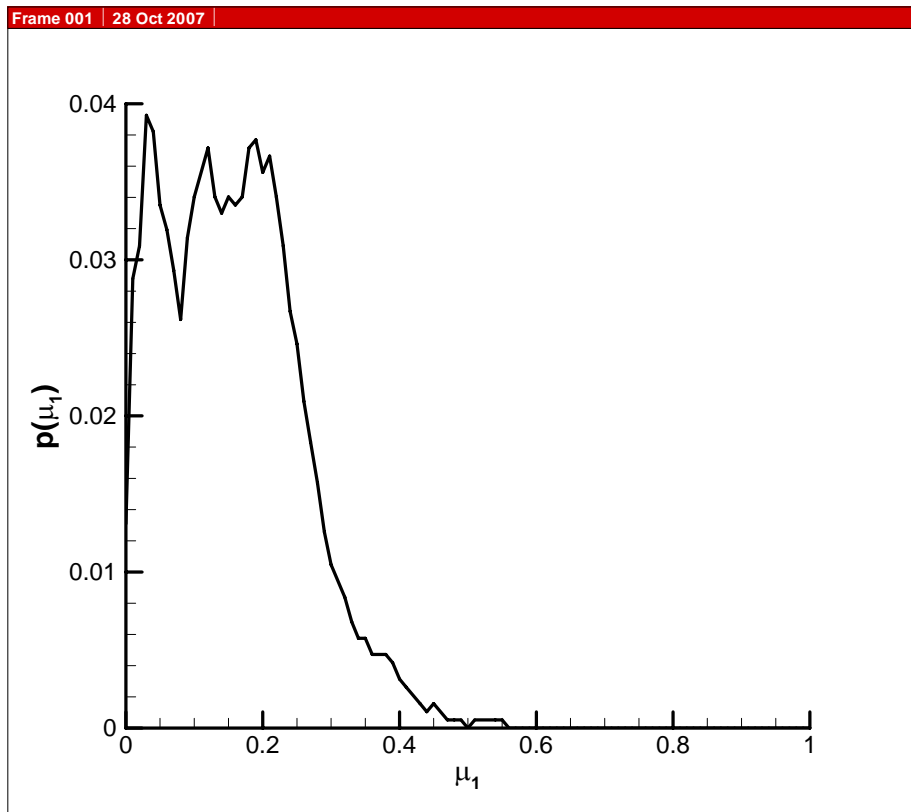
$u_x(t)$ is the horizontal velocity in the x direction at time t ,

$\ddot{\eta}(t)$ is the vertical acceleration of the water surface at time t , and

$\delta f \delta \theta$ is the discrete bands of frequency and angle used in the simulation.

Three constraints from "individual" wave kinematics:

1. wave steepness $\mu_1 = H / L < 1/7$
2. acceleration $\mu_2 = \dot{u} / (g / 2)$
3. ratio of orbital velocity to phase velocity $\mu_3 = u_{\max} / (2c)$

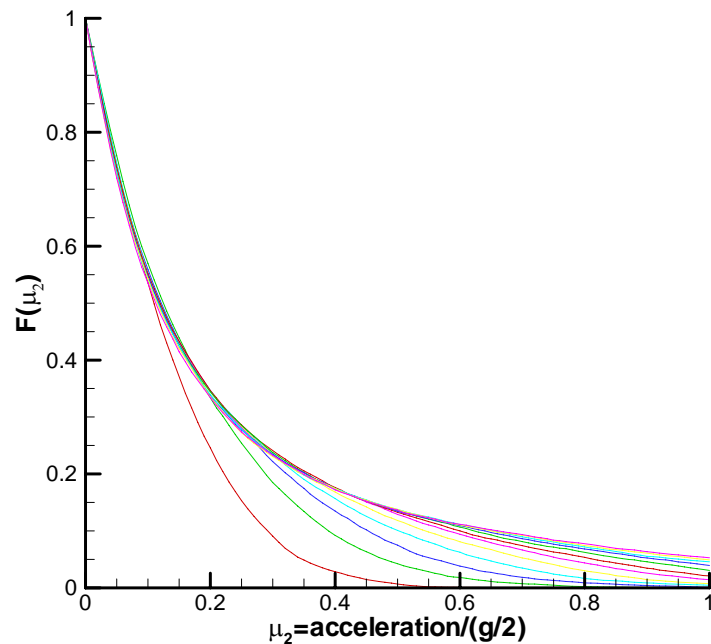
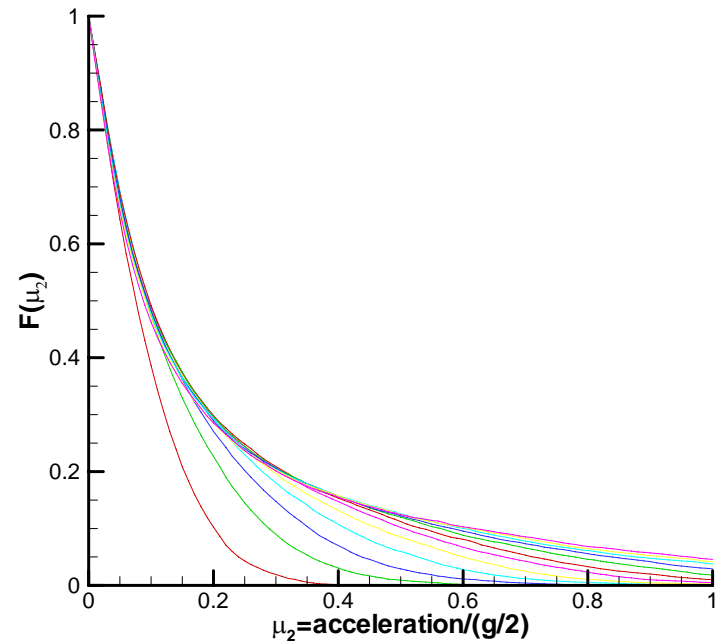
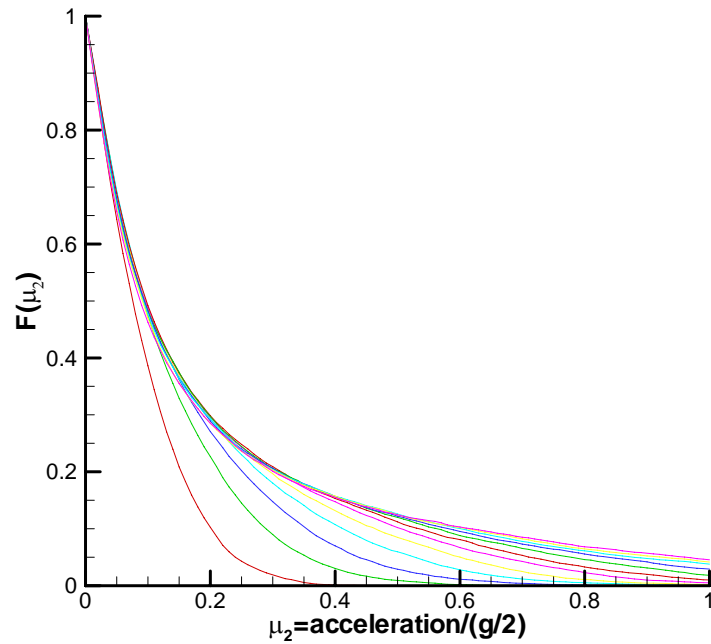


Statistical framework for acceleration breaking limit:

$$\langle a_b \rangle = Q_1 \int_0^{f_{eq}} f^4 f^{-4} \phi(f / f_p) df + Q_2 \int_{f_{eq}}^{f_t} f^4 f^{-4} df + Q_3 \int_{f_t}^{f_{cap}} f^4 f^{-5} \phi(f / f_p) df$$

$$\frac{\partial \langle a_b \rangle}{\partial f_t} = Q_1 \frac{\partial \phi(f / f_p)}{\partial f_t} + Q_2 f_t + \frac{Q_3}{f_t}$$

From this representation we see that the f^{-4} tail cannot extend too high or the accelerations will become very large.



**Cumulative Distribution Function
for normalized acceleration as a
function of relative peakedness
for a range of upper frequencies
from 1.5 – 6.0 f_p**

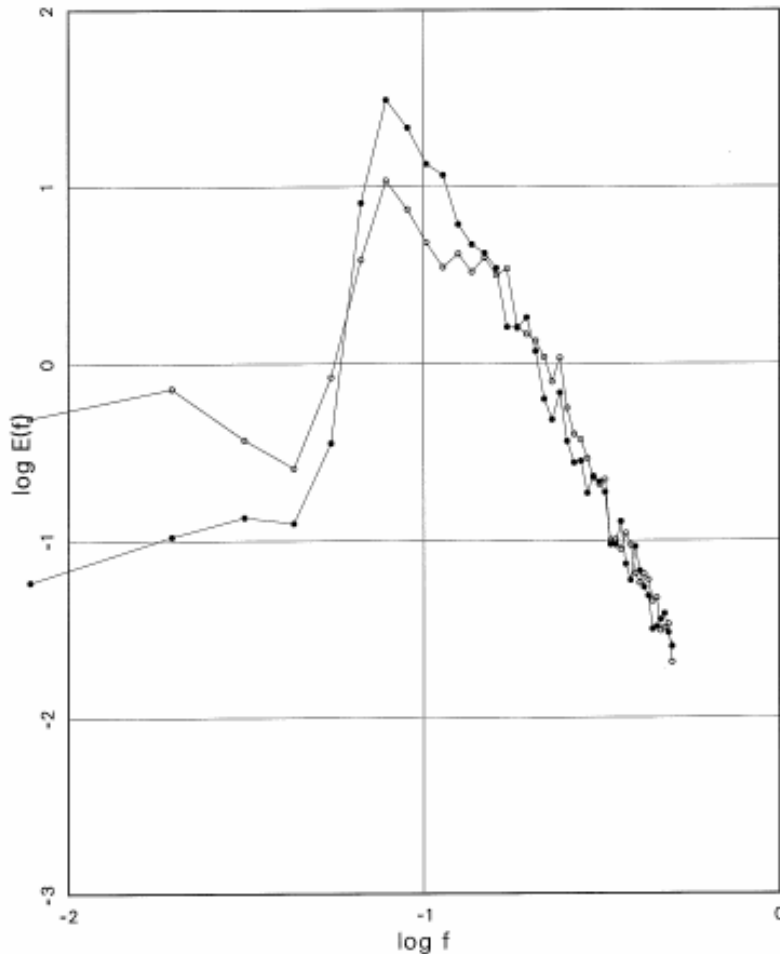
Some Implications

- Waves propagating into a coast
- Wave Set-up
- Wave model source terms

November 1994 Storm at 9411171900

wind: $u_{10} = 10.5$ m/s at 16 deg geom.

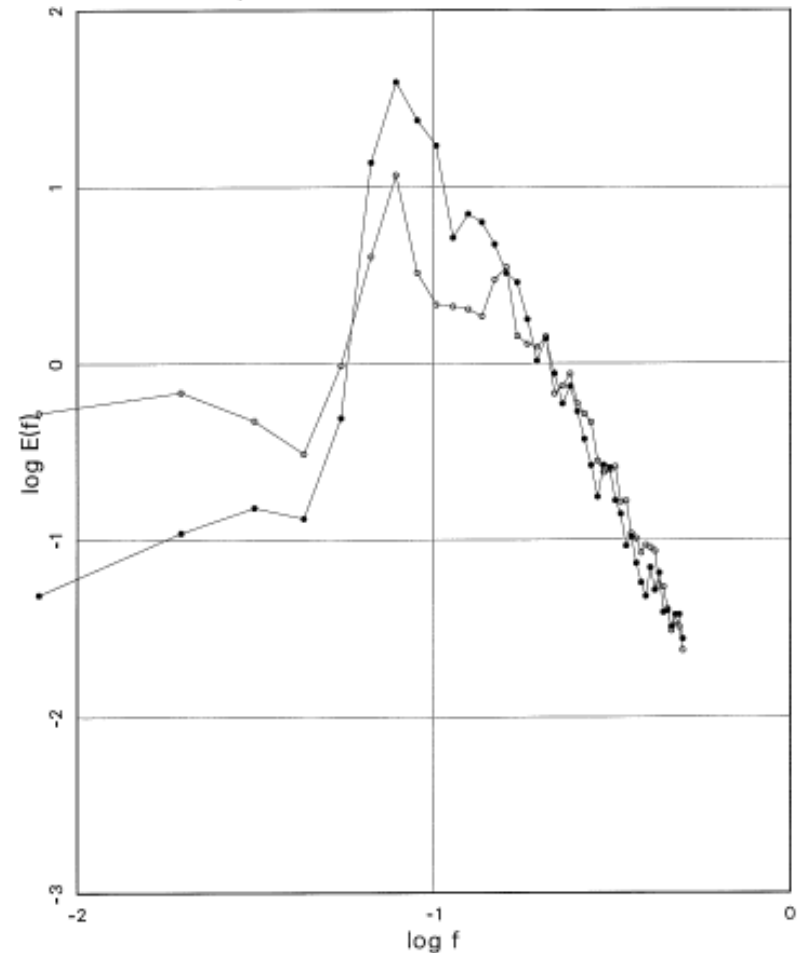
gauge	Hmo	fp	kp	age
• Waverider	4.65	0.078	0.041	0.872
◦ Baylor	3.40	0.078	0.057	1.222



November 1994 Storm at 9411172200

wind: $u_{10} = 10.7$ m/s at 21 deg geom.

gauge	Hmo	fp	kp	age
• Waverider	5.00	0.078	0.041	0.888
◦ Baylor	3.00	0.078	0.057	1.245

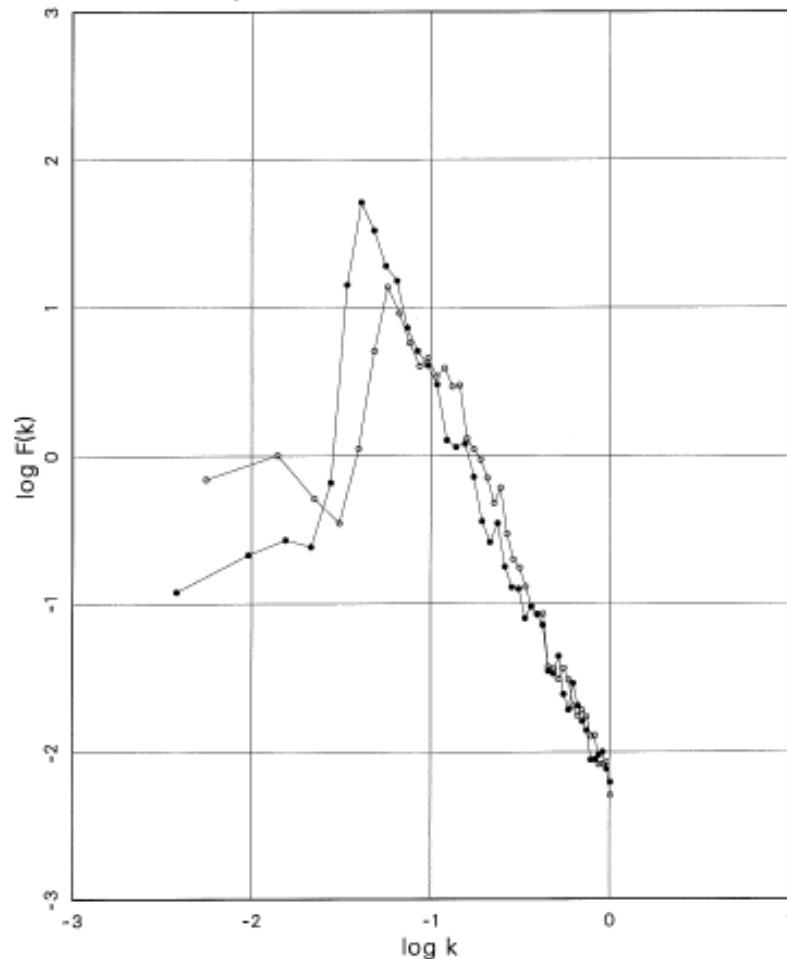


Problem of transformation from waverider to Baylor gage viewed in frequency space. Dissipation at peak – suggests that we need a good source term in that region of the spectrum = breaking at the spectral peak??

November 1994 Storm at 9411171900

wind: $u_{10} = 10.5$ m/s at 16 deg geom.

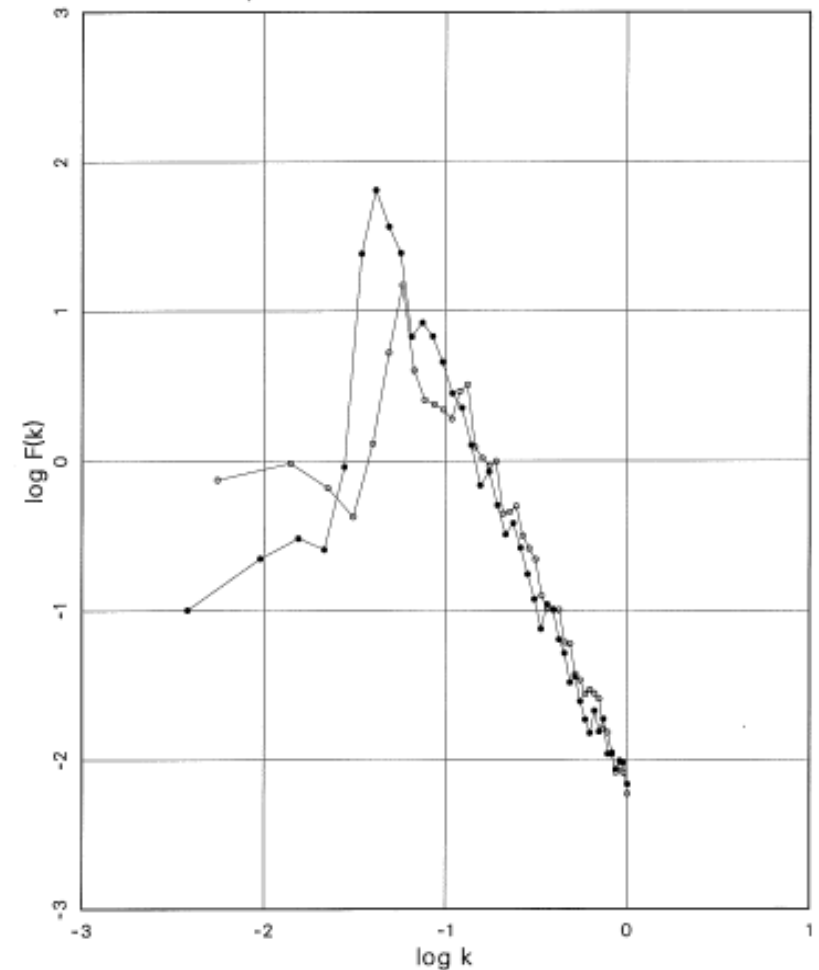
	gauge	Hm0	fp	kp	age
• Waverider	4.65	0.078	0.041	0.872	
◦ Baylor	3.40	0.078	0.057	1.222	



November 1994 Storm at 9411172200

wind: $u_{10} = 10.7$ m/s at 21 deg geom.

	gauge	Hm0	fp	kp	age
• Waverider	5.00	0.078	0.041	0.888	
◦ Baylor	3.00	0.078	0.057	1.245	



Problem of transformation from waverider to Baylor gage viewed in wavenumber space. Wavenumber similarity (?) – suggests that we need a good source term in the high frequency region of the spectrum?? Note shift to k^{-3} form.

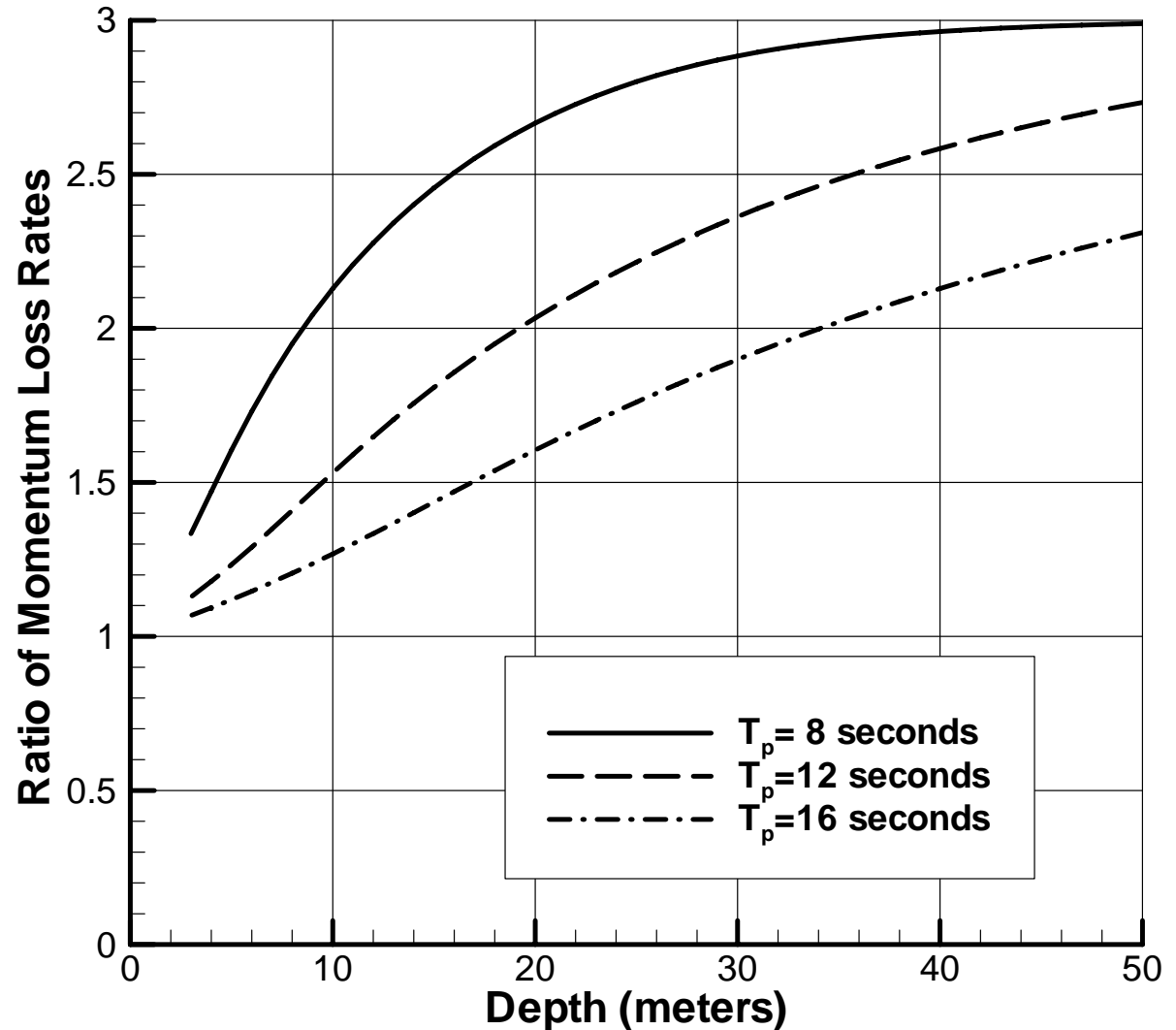
The contribution of the momentum flux into the water column to from the wave field, in the absence of winds, creates a (steady-state) slope which is dependent on the depth of water.

$$\frac{\partial \eta}{\partial y} \sim \frac{\Gamma_M}{h} \sim \frac{\Gamma_E}{hc}$$

where η is the water surface elevation,
 y is the direction normal to a straight coast,
 Γ_M is the rate of flux of momentum from the
wave field into the water column,
 h is the water depth.

Thus, if wave breaking or other source terms removes energy in “deeper” water, the setup can be considerable reduced over the case of dissipation which removes energy in “shallower” water.

Effect of this breaking form on wave set-up could be very large.

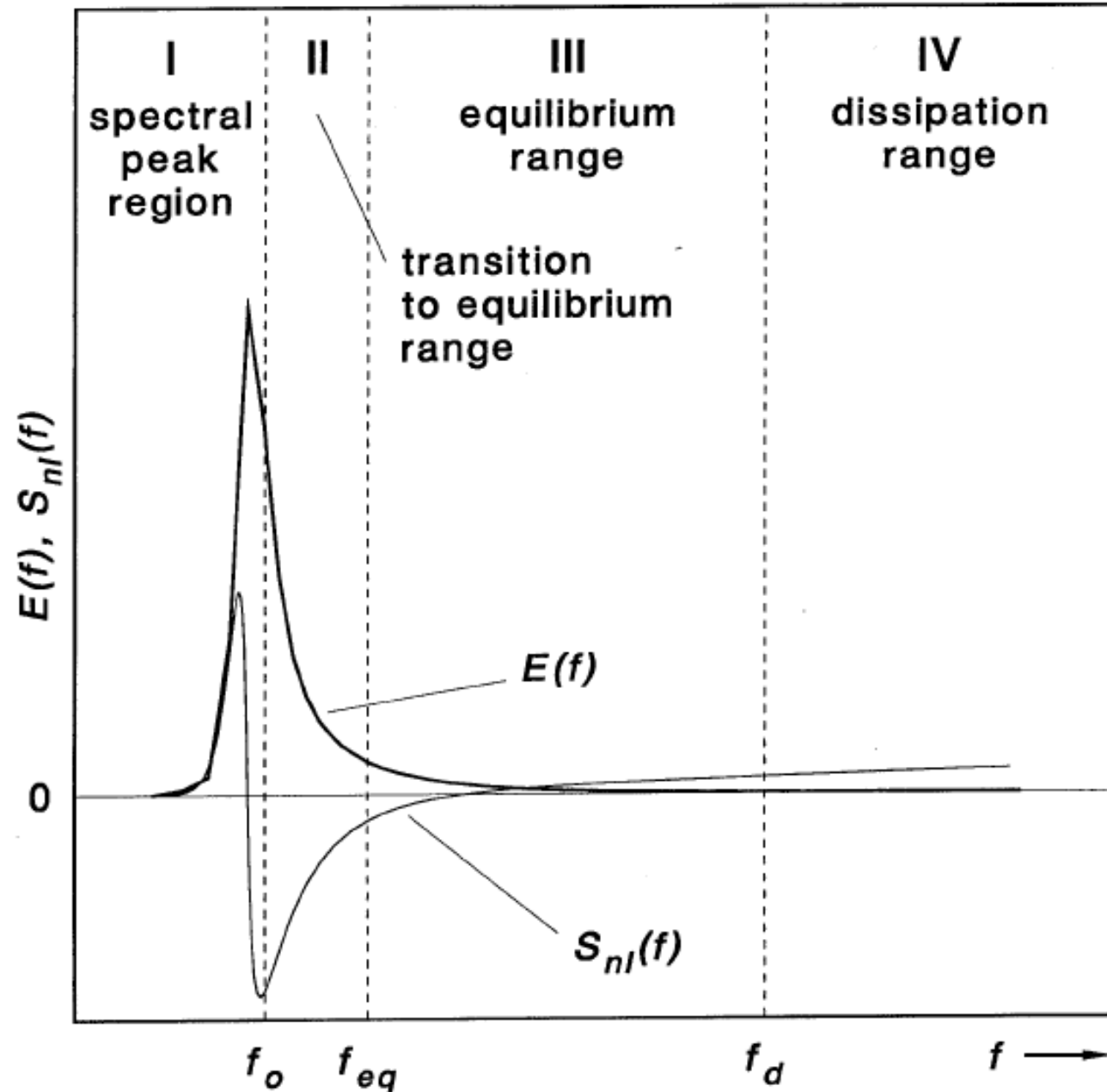


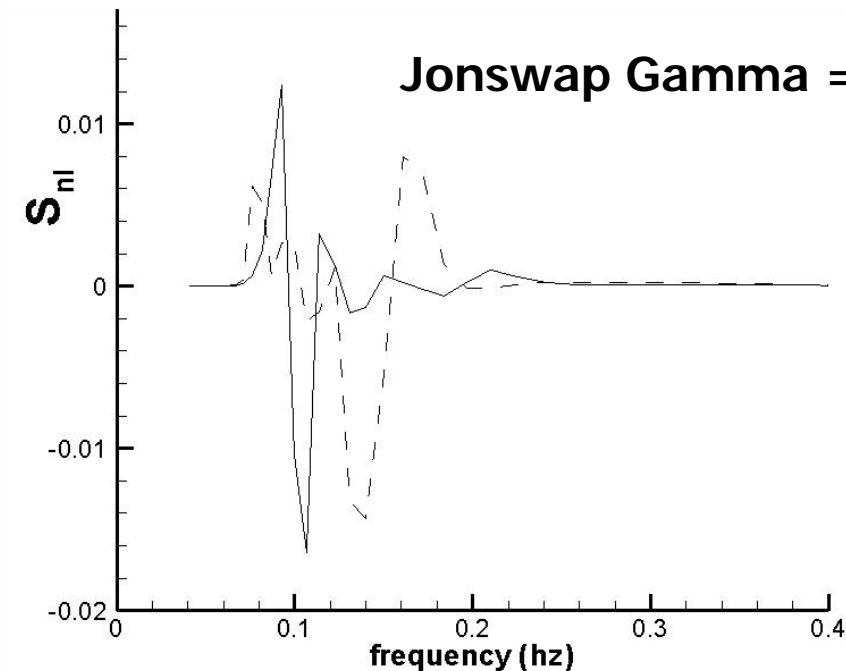
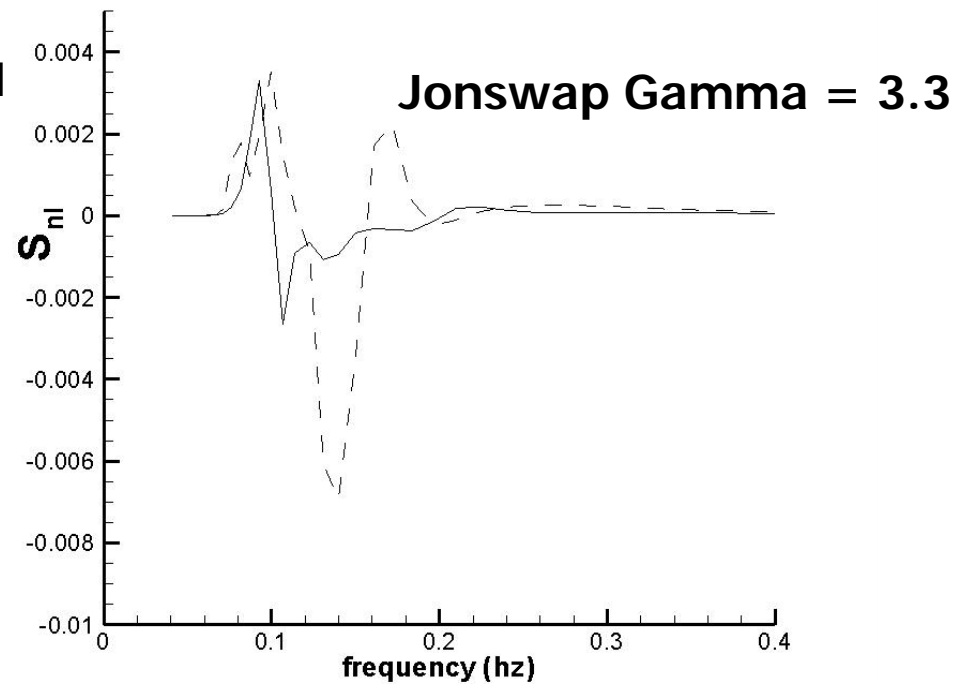
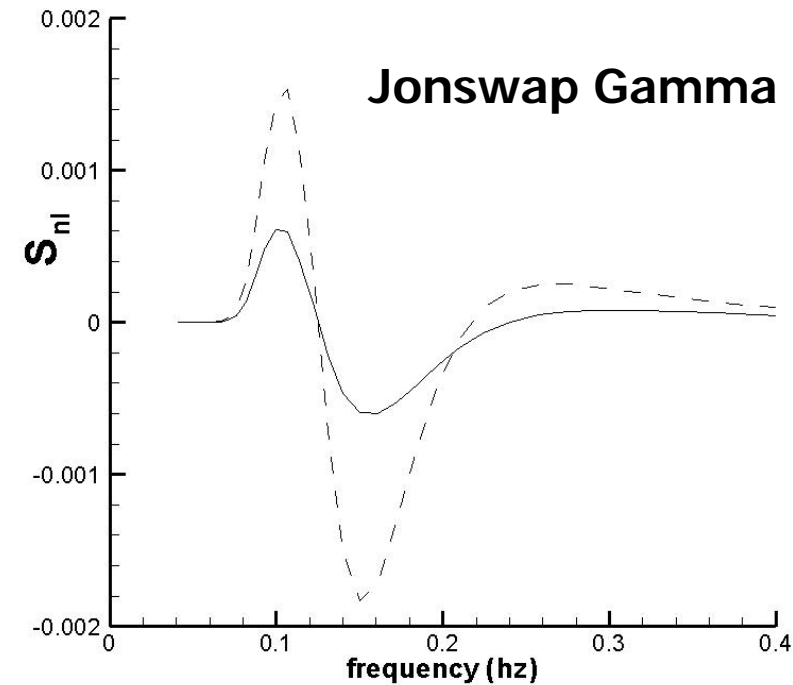
Calculated ratios of momentum lost from wave field given transition frequency equal to 3 time spectral peak

Possible new
source term
balance with
improved
 S_{nl} and high
frequency
breaking

Major problem
in existing
models: DIA

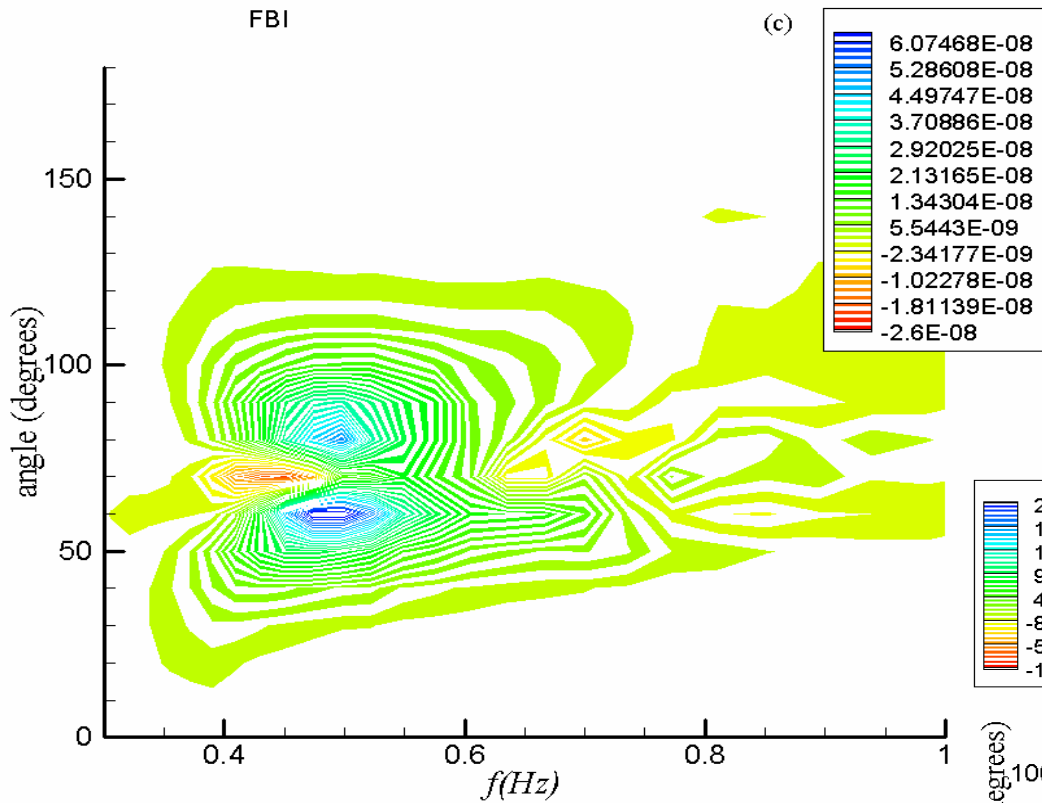
Other source
terms have to
be tuned to
compensate



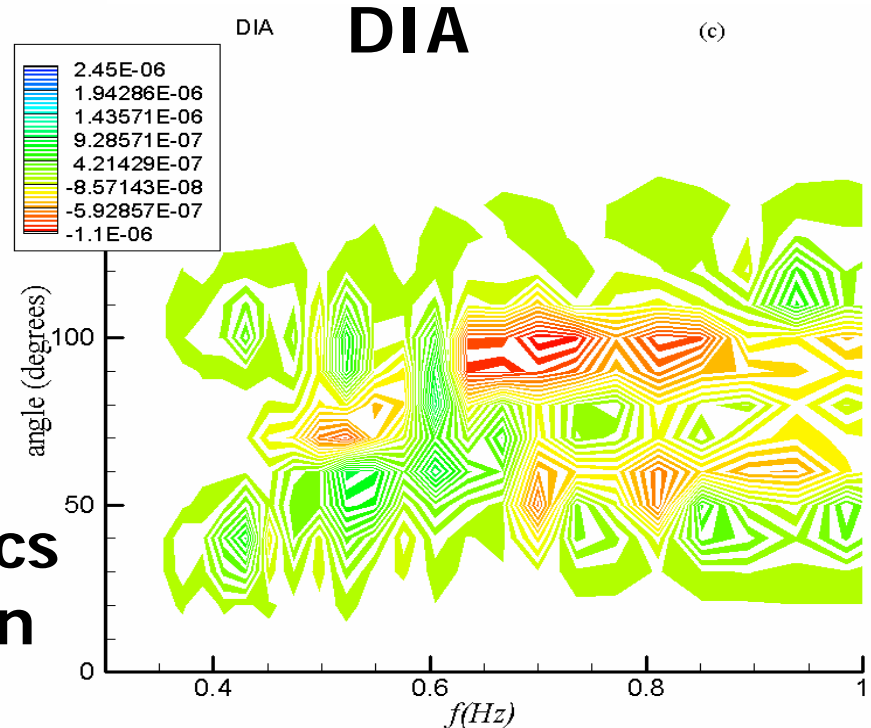


DIA does not give a consistent estimate of S_{nl} for different peakednesses (i.e. it varies with wave age)

Full Boltzmann Integral



Comparison of DIA to
WRT estimate of S_{nl}
for a measure spectrum



DIA directional characteristics
become very “garbled” when
directional characteristics
are examined

WAY AHEAD: New Model

- **TSA Replaces DIA**
- **High Frequency Breaking Replaces Distributed Breaking**
- **Solve for Wind Source for Closure**

Questions?
QUESTIONS??

